

# An Axiomatic Approach to Ranking Systems

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# Acknowledgment

- Most work presented in this talk is a joint work with Alon Altman.

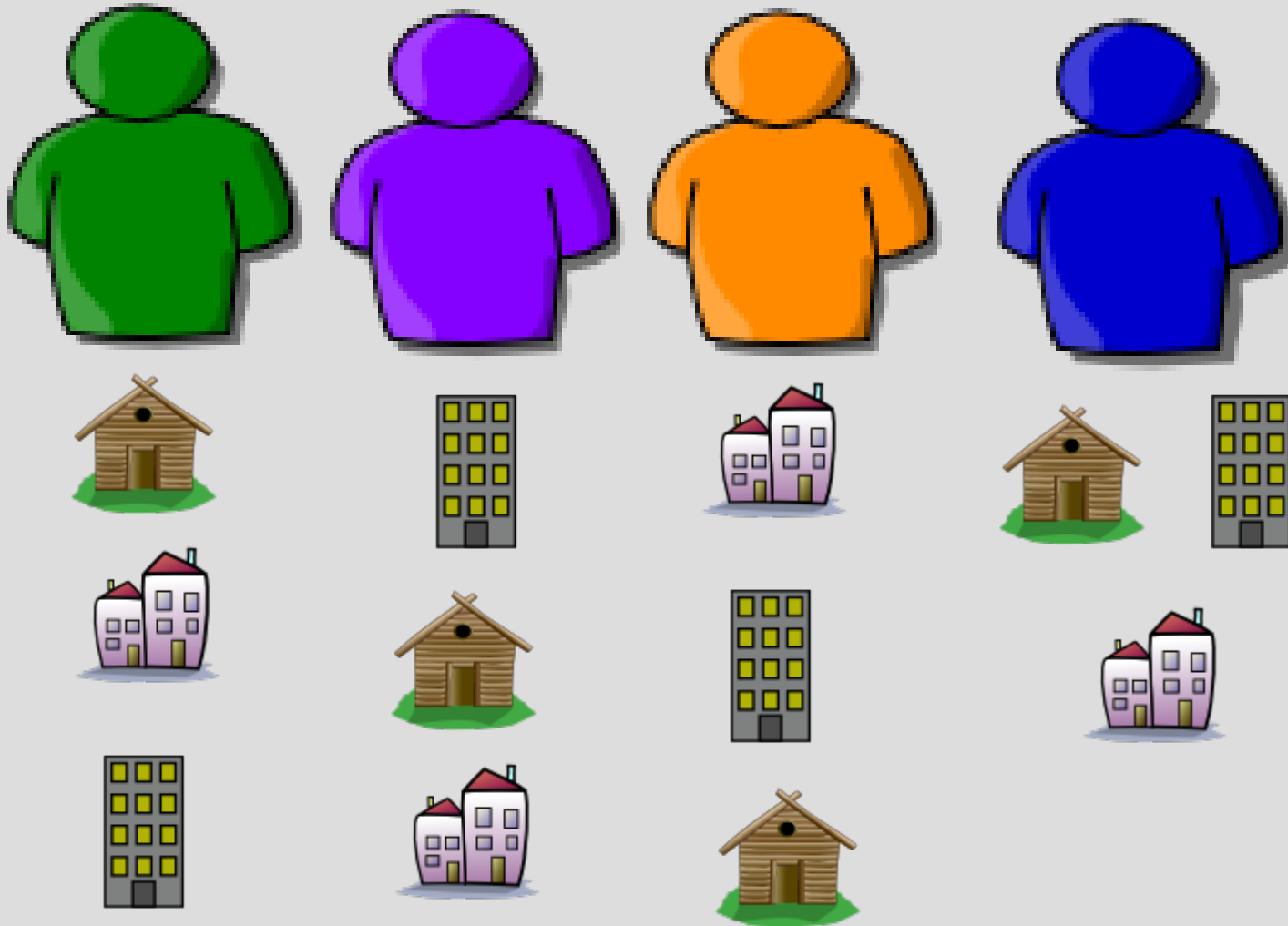
# Ranking Systems – Introduction

- Systems in which agents rank for each other are aggregated into a social ranking.
- Ranking systems can be defined in the terms of a *ranking function* combining the individual votes of the agents into a social ranking of the agents.
- Can be seen as a variation of the *social choice* problem where the agents and alternatives coincide.

# Social Choice

- The classical *social choice* setting is comprised of:
  - A set of **agents**
  - A set of **alternatives**
  - A **preference relation** for each agent over the set of alternatives.
- A *social welfare function* is a mapping between the agents' individual preferences into a social ranking over the alternatives.
- **The goal:** produce “good” social welfare functions.

# Social Choice - Example



# Graph Ranking Systems

- Voters and alternatives are the **same set**.
- Each agent may only make **binary** votes: only specify some subset of the agents as “good”.
- Preferences of all the agents may be represented as a **graph**, where the agents are the vertices and the votes are the edges.
- Applies for ranking WWW pages and eBay traders.

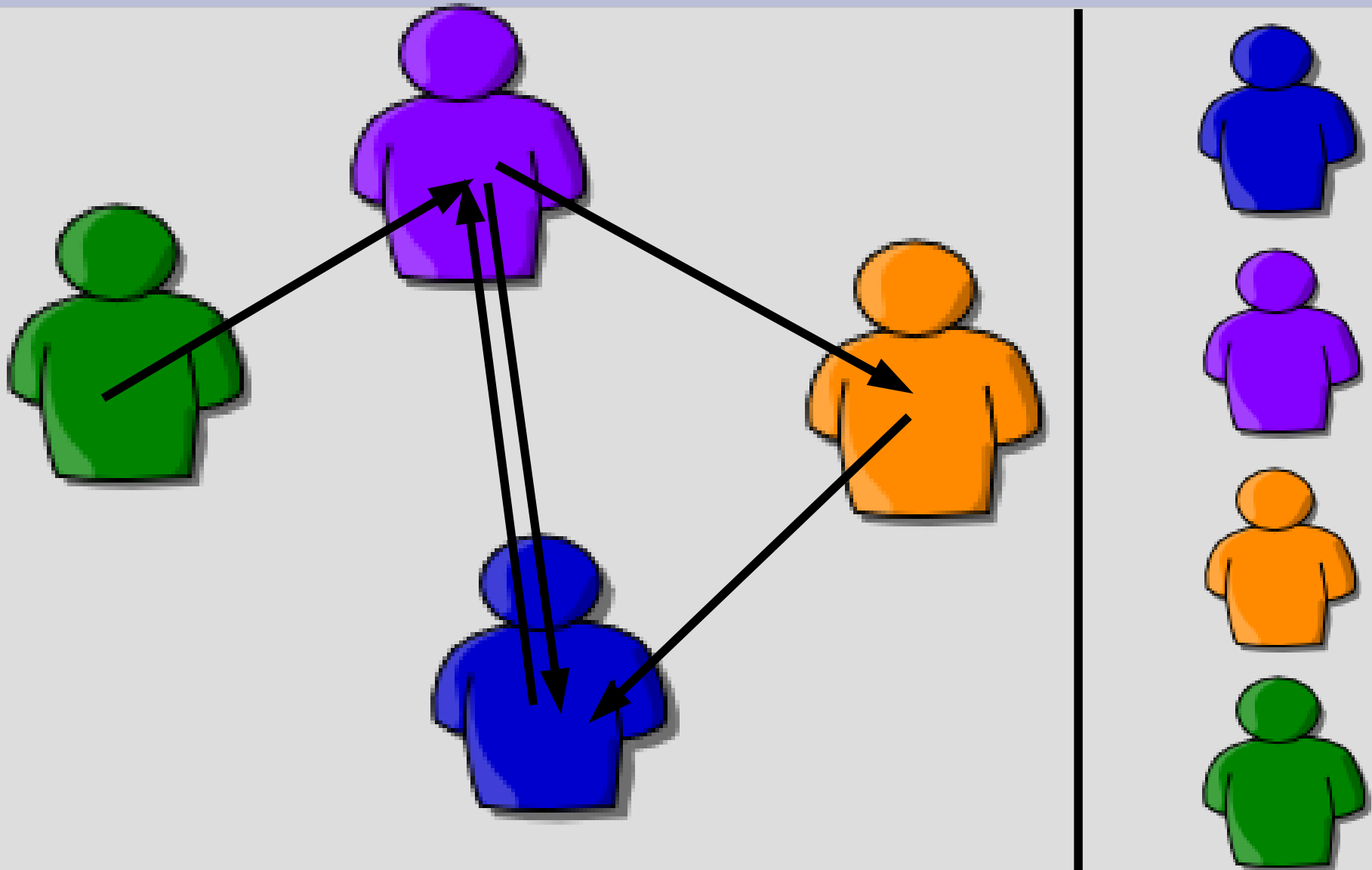


PageRank



Reputation System

# Ranking systems



# Ranking System – Definition

- Therefore, a (graph) *ranking system* can simply be defined as a functional from the set of all graphs, to the set of linear orderings on the vertices.
- Such a function may be partial. That is, rank only a specific set of graphs, in which case we call it a *partial ranking system*.



# The Axiomatic Approach

- We try to find basic properties (**axioms**) satisfied by ranking systems.
- Encompasses two distinct approaches:
  - The **normative** approach, in which we study sets of axioms that *should* be satisfied by a ranking system; and
  - The **descriptive** approach, in which we devise a set of axioms that *are* uniquely satisfied by a known ranking system
- We apply both to ranking systems, similarly to seminal studies in the classical social choice setting (Arrow impossibility theorem, May theorem).

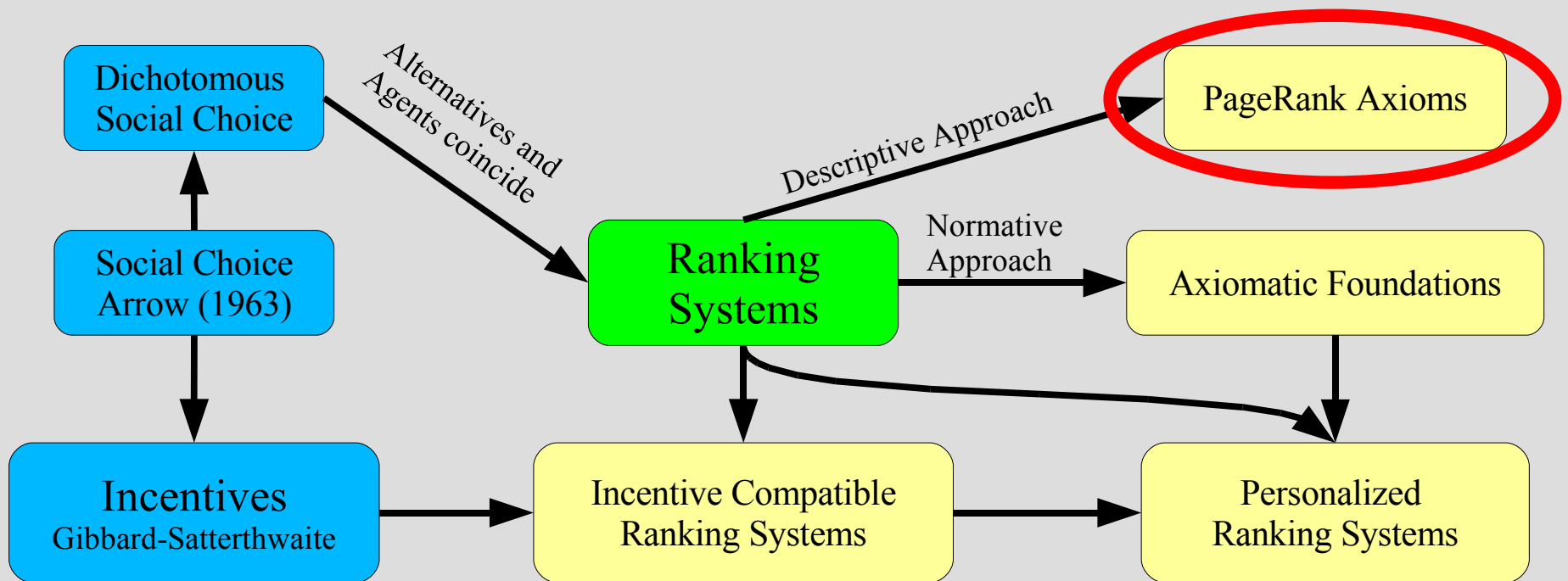
# The Normative Approach

- Arrow's(1963) **impossibility theorem** is one of the most important results of the normative approach in Social Choice.
- Does not apply to Ranking Systems.
- In the ranking systems setting, different axioms arise from the fact that the voters and alternatives coincide.

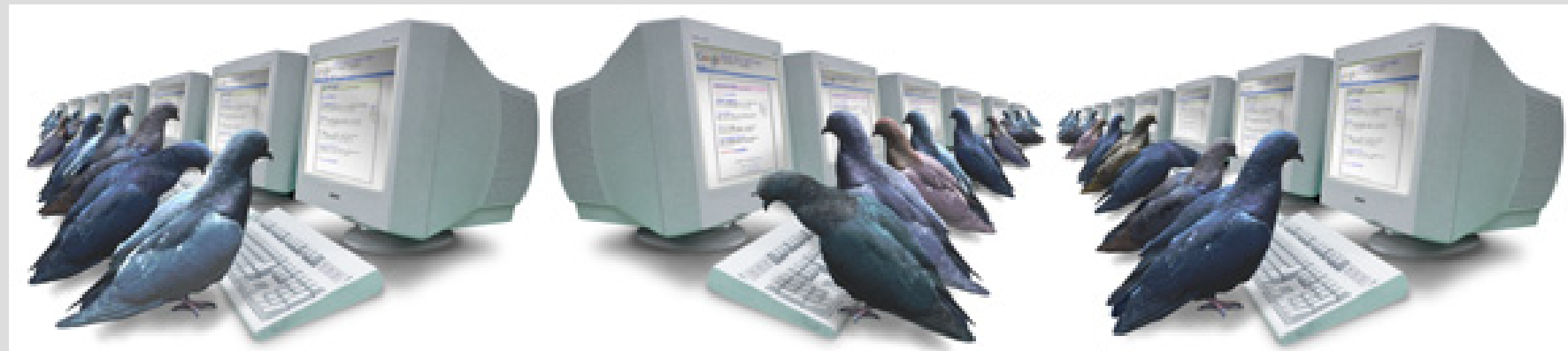
# The Descriptive Approach

- In social choice, May's Theorem(1952), provides an axiomatization of the majority rule.
- This approach is useful in ensuring the axioms we suggest are satisfiable.
- We apply this approach towards the axiomatization of the PageRank ranking system.

# Research Map



# PigeonRank



# PageRank

- Simplified version of PageRank
- Ranks according to the stationary probabilities of a random walk.
- We assume the graph  $G=(V,E)$  to be strongly connected.
- Let  $A_G$  be the following matrix:

$$[A_G]_{i,j} = \begin{cases} 1/|S_G(v_j)| & (v_j, v_i) \in E \\ 0 & \text{Otherwise.} \end{cases}$$

where  $S_G(v)$  is the successor set of  $v$ .

# PageRank (cont.)

- The PageRank of a graph  $G$  is defined as the principal eigenvector of the matrix  $A$ .
- That is, the PageRank of  $G$  is the vector  $\mathbf{x}$  satisfying  $A_g \mathbf{x} = \mathbf{x}$ .
- The PageRank ranking system  $PR$  is the ordering on  $V$  according to  $\mathbf{x}$ :

$$v_1 \leq_{PR} v_2 \iff x_1 \leq x_2$$

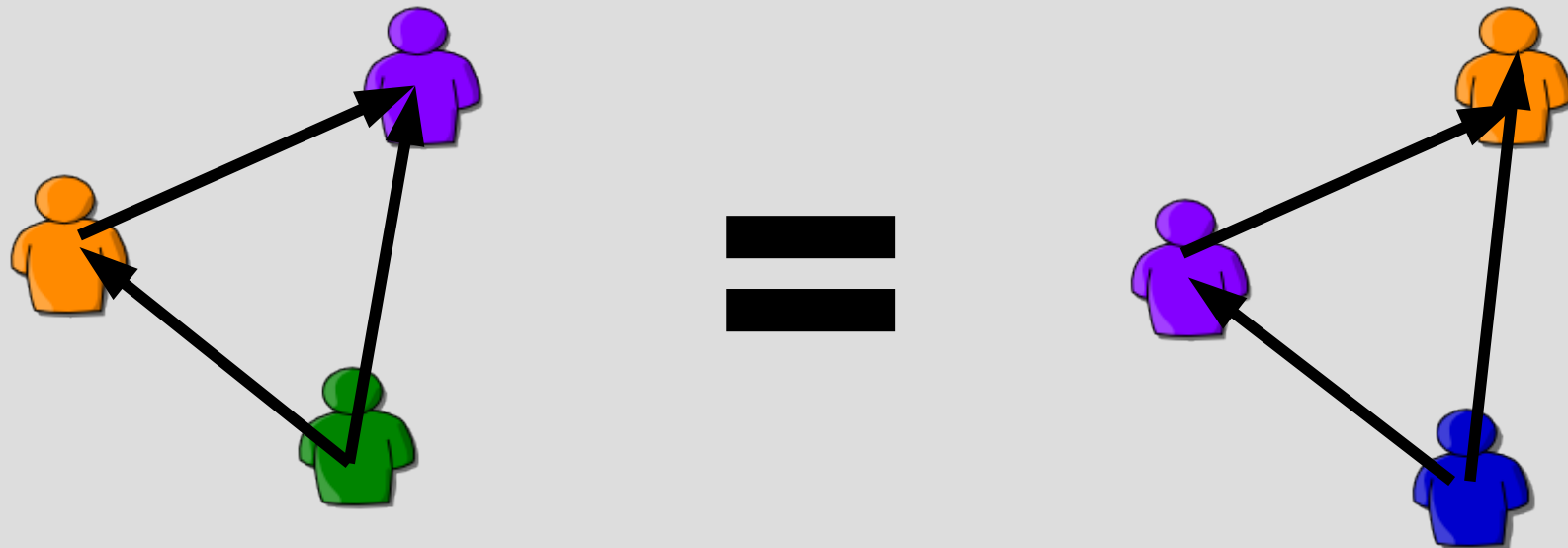
# The PageRank Axioms

- Our representation theorem for PageRank requires the following five axioms:
  - Isomorphism;
  - Self-Edge;
  - Vote by Committee;
  - Collapsing; and
  - Proxy



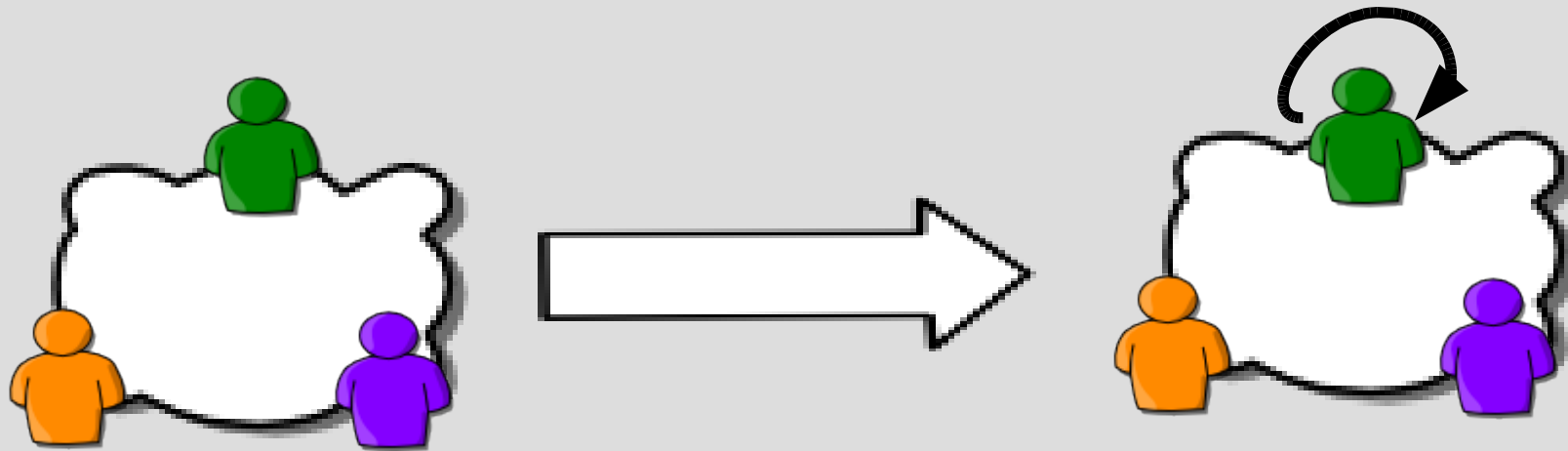
# Isomorphism

- A ranking system satisfying *isomorphism* is not sensitive to renaming of the agents, but only to the structure of the graph
- This axiom is similar to the *anonymity* and *neutrality* axioms of classical social choice.

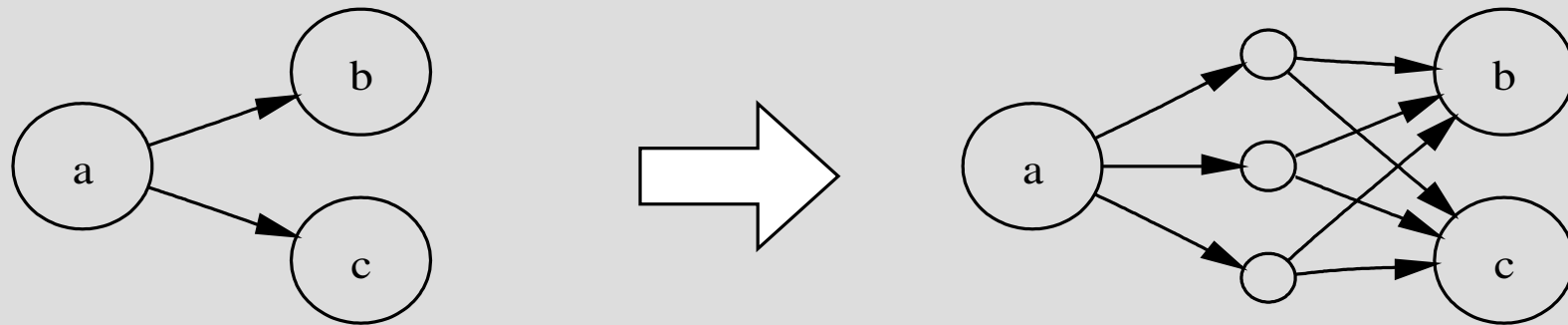


# Self-Edge

- This axiom states that adding a self edge on  $v$  strengthens  $v$ , but does not change the relative ranking of other vertices.



# Vote by Committee



- The **Vote by Committee** axiom captures the fact that an agent may vote indirectly via any number of intermediate agents, each of which vote to the agent's original preferences.
- This indirect voting does not change the relative ranks of any agents.

# Vote by Committee (cont.)

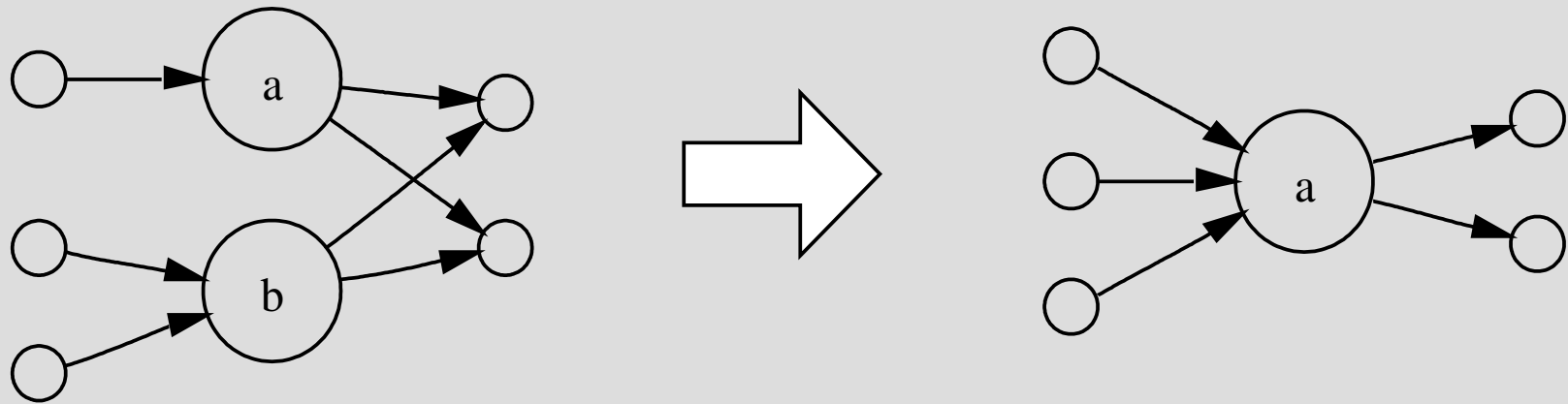
Formal Definition:

Let  $F$  be a ranking system.  $F$  satisfies *vote by committee* if for every vertex set  $V$ , for every vertex  $v \in V$ , for every graph  $G = (V, E) \in \mathbb{G}_V$ , for every  $v_1, v_2 \in V$ , and for every  $m \in \mathbb{N}$ : Let

$G' = (V \cup \{u_1, u_2, \dots, u_m\}, E \setminus \{(v, x) \mid x \in S_G(v)\} \cup \{(v, u_i) \mid i = 1, \dots, m\} \cup \{(u_i, x) \mid x \in S_G(v), i = 1, \dots, m\})$ , where  $\{u_1, u_2, \dots, u_m\} \cap V = \emptyset$ .

Then,  $v_1 \preceq_G^F v_2$  iff  $v_1 \preceq_{G'}^F v_2$ .

# Collapsing



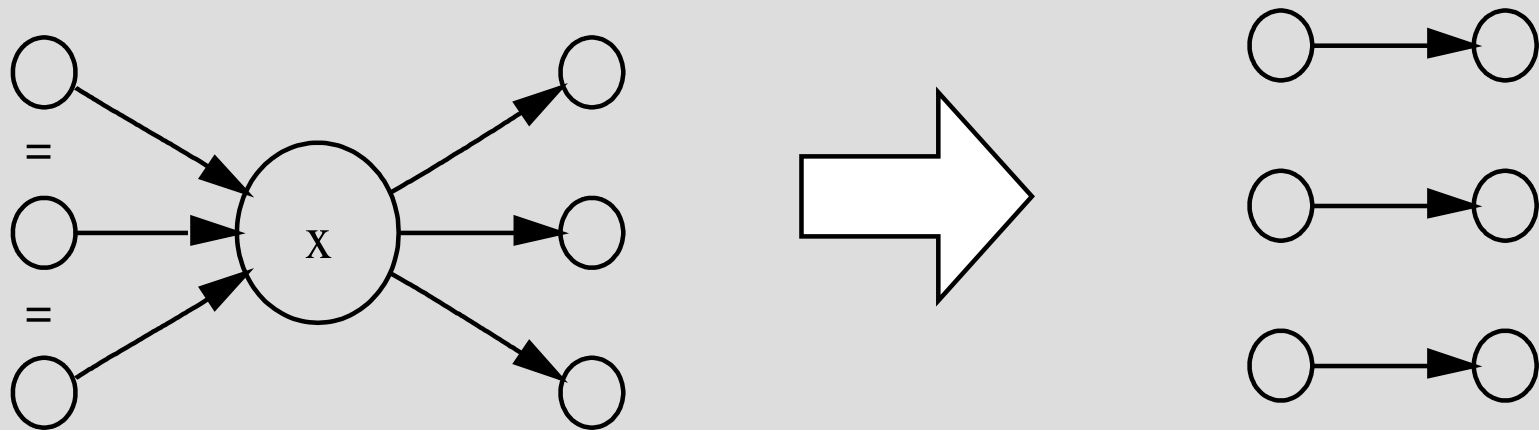
- The **collapsing** axiom captures the fact that voters which have the same preferences may be collapsed to a single voter with the same preferences, voted by all the voters for both.
- We assume the voter sets for the collapsed vertices are disjoint and do not include  $a$  or  $b$ .
- This collapsing only change the rank of  $a$ .

# Collapsing (cont.)

Formal Definition:

Let  $F$  be a ranking system.  $F$  satisfies *collapsing* if for every vertex set  $V$ , for every  $v, v' \in V$ , for every  $v_1, v_2 \in V \setminus \{v, v'\}$ , and for every graph  $G = (V, E) \in \mathbb{G}_V$  for which  $S_G(v) = S_G(v')$ ,  $P_G(v) \cap P_G(v') = \emptyset$ , and  $[P_G(v) \cup P_G(v')] \cap \{v, v'\} = \emptyset$ :  
Let  $G' = (V \setminus \{v'\}, E \setminus \{(v', x) \mid x \in S_G(v')\} \setminus \{(x, v') \mid x \in P_G(v')\} \cup \{(x, v) \mid x \in P_G(v')\})$ .  
Then,  $v_1 \preceq_G^F v_2$  iff  $v_1 \preceq_{G'}^F v_2$ .

# Proxy



- The **proxy** axiom captures the fact that  $n$  voters of equal rank who have voted via a proxy (another agent) for  $n$  alternatives, can achieve the same result by directly voting for one alternative each.

# Proxy (cont.)

Formal Definition:

Let  $F$  be a ranking system.  $F$  satisfies *proxy* if for every vertex set  $V$ , for every vertex  $v \in V$ , for every  $v_1, v_2 \in V \setminus \{v\}$ , and for every graph  $G = (V, E) \in \mathbb{G}_V$  for which  $|P_G(v)| = |S_G(v)|$ , for all  $p \in P_G(v)$ :  $S_G(p) = \{v\}$ , and for all  $p, p' \in P_G(v)$ :  $p \simeq p'$ : Assume  $P_G(v) = \{p_1, p_2, \dots, p_m\}$  and  $S_G(v) = \{s_1, s_2, \dots, s_m\}$ . Let  $G' = (V \setminus \{v\}, E \setminus \{(x, v), (v, x) | x \in V\} \cup \{(p_i, s_i) | i \in \{1, \dots, m\}\})$ . Then,  $v_1 \preceq_G^F v_2$  iff  $v_1 \preceq_{G'}^F v_2$ .



# Soundness

- **Proposition:** The PageRank ranking system  $PR$  satisfies **isomorphism**, **self edge**, **vote by committee**, **collapsing**, and **proxy**.
- This proposition is proven by a simple application of linear algebra.

# Completeness

- The question arises whether PageRank is the *only* ranking system satisfying these axioms.

# Completeness

- The question arises whether PageRank is the *only* ranking system satisfying these axioms.

**Theorem:** Any ranking system that satisfies *isomorphism*, *self edge*, *vote by committee*, *collapsing*, and *proxy* is the PageRank ranking system.

# Completeness

- In order to prove completeness, we will first show three strong properties that are entailed by our five axioms:
  - **Weak Deletion;**
  - **Strong Deletion; and**
  - **Duplication**

# Weak Deletion

- The Weak Deletion property allows us to remove a vertex that has both an in-degree and an out-degree of 1.
- Formally,

Let  $V$  be a vertex set and let  $v \in V$  be a vertex. Let

$G = (V, E) \in \mathbb{G}_V$  be a graph where  $S(v) = \{s\}$ ,  $P(v) = \{p\}$ , and  $(s, p) \notin E$ . We will use  $\mathbf{Del}(G, v)$  to denote the graph

$G' = (V', E')$  defined by:

$$V' = V \setminus \{v\}$$

$$E' = E \setminus \{(p, v), (v, s)\} \cup \{(p, s)\}.$$

# Weak Deletion (cont.)

Now we can state the weak deletion property:

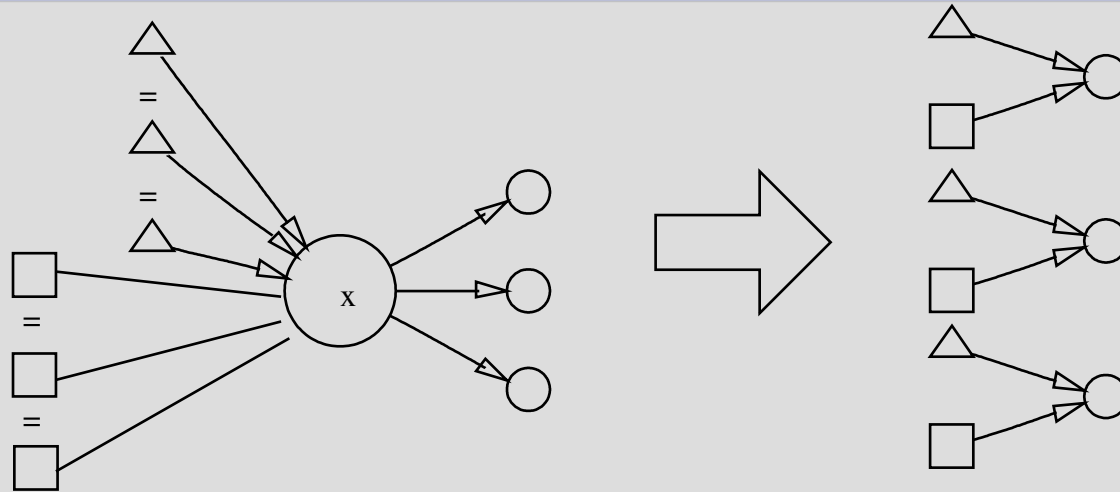
Let  $F$  be a ranking system.  $F$  has the *weak deletion* property if for every vertex set  $V$ , for every vertex  $v \in V$  and for all vertices

$v_1, v_2 \in V \setminus \{v\}$ , and for every graph  $G = (V, E) \in \mathbb{G}_V$  s.t.

$S(v) = \{s\}$ ,  $P(v) = \{p\}$ , and  $(s, p) \notin E$ : Let  $G' = \mathbf{Del}(G, v)$ .

Then,  $v_1 \preceq_G^F v_2$  iff  $v_1 \preceq_{G'}^F v_2$ .

# Strong Deletion



- The **Strong Deletion** property is a generalization of the **proxy** axiom, allowing removal of a vertex with  $m$  sets of  $t$  equal predecessors, and  $t$  successors.
- One element of each equal sets is set to point to each of the original successors.
- This change does not affect the relative rank of the remaining vertices.

# Strong Deletion (cont.)

Formally, the strong deletion operator is defined:

Let  $V$  be a vertex set and let  $v \in V$  be a vertex. Let  $G = (V, E) \in \mathbb{G}_V$  be a graph where  $S(v) = \{s_1, s_2, \dots, s_t\}$  and

$P(v) = \{p_j^i \mid j = 1, \dots, t; i = 0, \dots, m\}$ , and  $S(p_j^i) = \{v\}$  for all  $j \in \{1, \dots, t\}$  and  $i \in \{0, \dots, m\}$ . We will use

**Delete** $(G, v, \{(s_1, \{p_1^i \mid i = 0, \dots, m\}), \dots, (s_t, \{p_t^i \mid i = 0, \dots, m\})\})$  to denote the graph  $G' = (V', E')$  defined by:

$$V' = V \setminus \{v\}$$

$$E' = E \setminus \{(p_j^i, v), (v, s_j) \mid i = 0, \dots, m; j = 1, \dots, t\} \cup \\ \cup \{(p_j^i, s_j) \mid i = 0, \dots, m; j = 1, \dots, t\}.$$



# Strong Deletion (cont.)

Now we can state the strong deletion property:

Let  $F$  be a ranking system.  $F$  has the *strong deletion* property if for every vertex set  $V$ , for every vertex  $v \in V$ , for all  $v_1, v_2 \in V \setminus \{v\}$ , and for every

graph  $G = (V, E) \in \mathbb{G}_V$  s.t.  $S(v) = \{s_1, s_2, \dots, s_t\}$ ,

$P(v) = \{p_j^i \mid j = 1, \dots, t; i = 0, \dots, m\}$ ,  $S(p_j^i) = \{v\}$  for all  $j \in \{1, \dots, t\}$

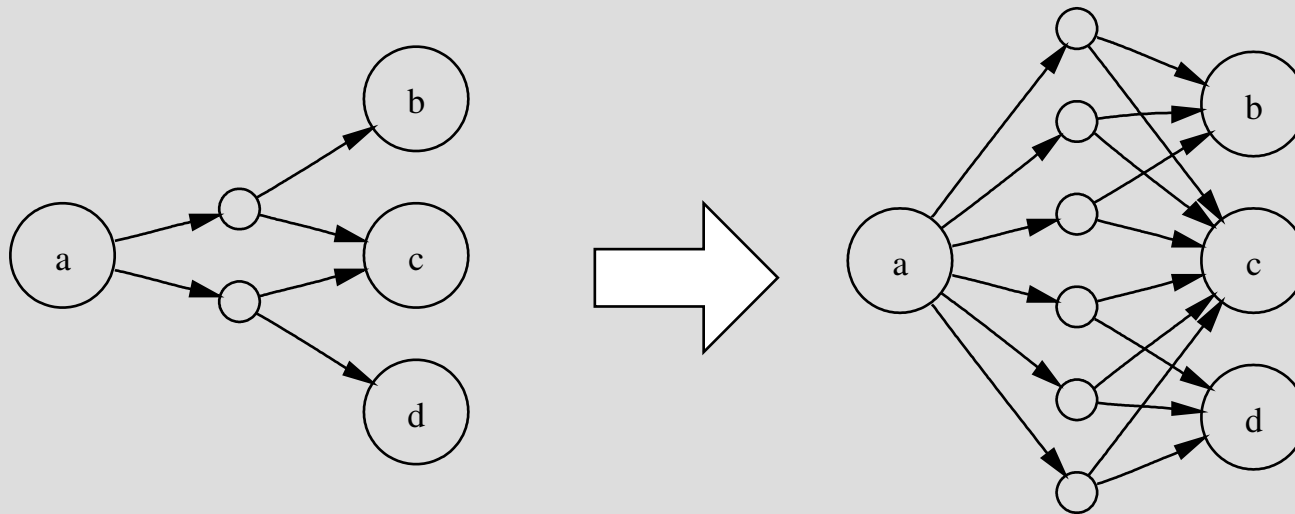
and  $i \in \{0, \dots, m\}$ , and  $p_j^i \simeq_G^F p_k^i$  for all  $i \in \{0, \dots, m\}$  and

$j, k \in \{1, \dots, t\}$ : Let

$G' = \mathbf{Delete}(G, v, \{(s_1, \{p_1^i \mid i = 0, \dots, m\}), \dots, (s_t, \{p_t^i \mid i = 0, \dots, m\})\})$ .

Then,  $v_1 \preceq_G^F v_2$  iff  $v_1 \preceq_{G'}^F v_2$ .

# Duplication



- The **duplication** property allows duplication of an agent's successors by any factor.
- The new vertices have the same successors as the old.
- The relative ranking of all vertices except the duplicated successors does not change.

# Duplication (cont.)

Formally, the duplication operator is defined:

Let  $V$  be a vertex set and let  $G = (V, E) \in \mathbb{G}_V$  be a graph. Let  $S(v) = \{s_1^0, s_2^0, \dots, s_t^0\}$ . We will use  $\mathbf{Duplicate}(G, v, m)$  to denote the graph  $G' = (V', E')$  defined by:

$$V' = V \cup \{s_j^i \mid i = 1, \dots, m - 1; j = 1, \dots, t\}$$

$$E' = E \cup \{(v, s_j^i) \mid i = 1, \dots, m - 1; j = 1, \dots, t\} \cup \\ \cup \{(s_j^i, u) \mid i = 1, \dots, m - 1; j = 1, \dots, t; u \in S_G(s_j^0)\}.$$

# Duplication (cont.)

- Now we can state the duplication property:

Let  $F$  be a ranking system.  $F$  has the *edge duplication* property if for every vertex set  $V$ , for all vertices  $v, v_1, v_2 \in V$ , for every  $m \in \mathbb{N}$ , and for every graph  $G = (V, E) \in \mathbb{G}_V$ : Let  $S(v) = \{s_1^0, s_2^0, \dots, s_t^0\}$ , and let  $G' = \mathbf{Duplicate}(G, v, m)$ . Then,  $v_1 \preceq_G^F v_2$  iff  $v_1 \preceq_{G'}^F v_2$ .

# Satisfication

- The three properties are entailed by our axioms:
  - **Lemma:** Let  $F$  be a ranking system that satisfies isomorphism, vote by committee, and proxy. Then,  $F$  has the weak deletion property.
  - **Lemma:** Let  $F$  be a ranking system that satisfies collapsing and proxy. Then,  $F$  has the strong deletion property.
  - **Lemma:** Let  $F$  be a ranking system that satisfies isomorphism, vote by committee, collapsing, and proxy. Then,  $F$  has the edge duplication property.

# Completeness

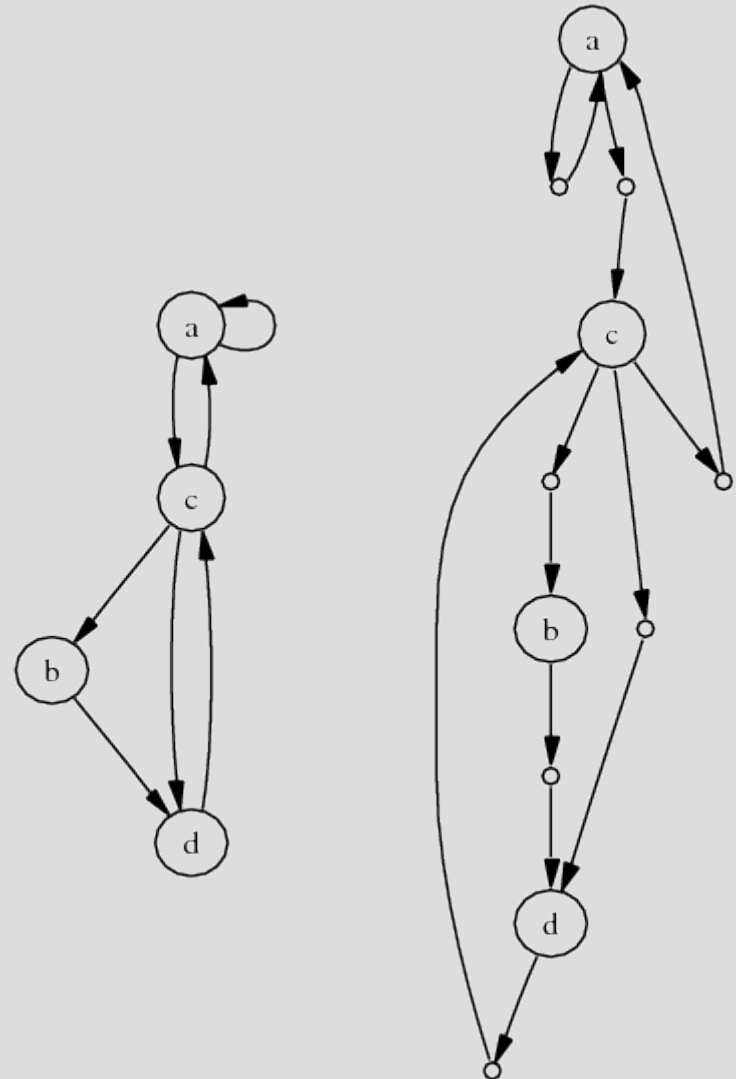
- Do **other** systems satisfy these five axioms?
- **No!** PageRank is the only ranking system satisfying all 5 axioms.
- The completeness proof is a **constructive** one.
- We suggest a (grossly inefficient) **algorithm** for computing relative PageRank.

# Completeness Proof Algorithm

- **Fix** two vertices  $a$  and  $b$
- **Manipulate** the graph preserving their relative ranking.
- Apply further manipulation to **modify** the relative ranking of  $a$  and  $b$  in one direction.
- $a$  and  $b$  can then now be proven of **equal** rank.
- The relative ranking of  $a$  and  $b$  in the original graph can now be deduced.

# Demonstration of Proof

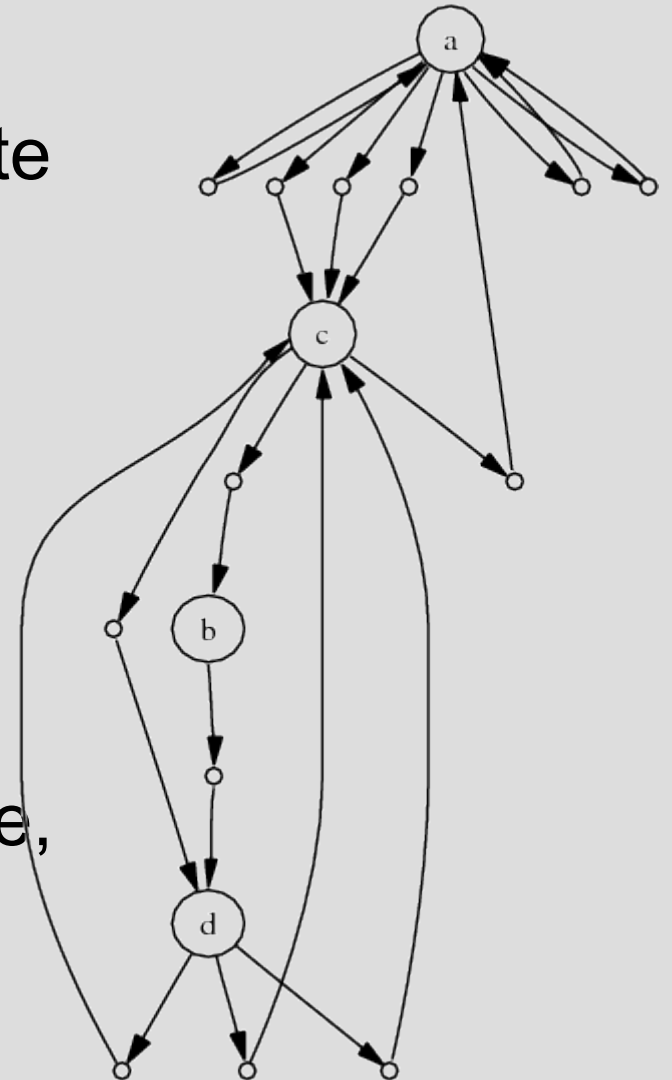
- Start with the input graph and two vertices  $a$  and  $b$  to be compared.
- Add a vertex on each edge.
- The relative ranking of  $a$  and  $b$  does not change because of the weak deletion property





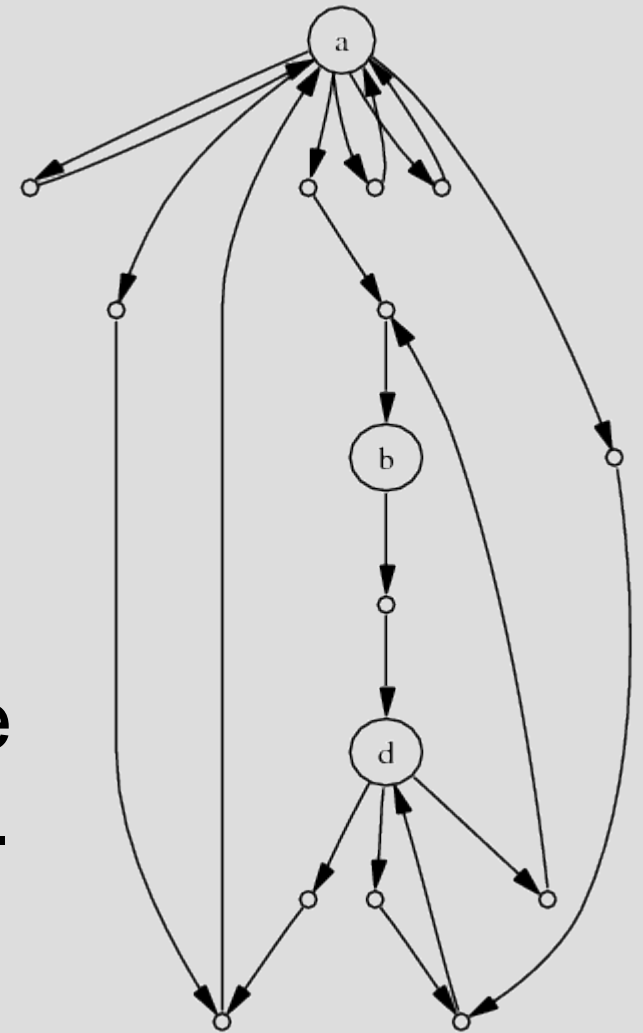
# Demonstration of Proof (cont.)

- Select an original vertex except  $a$  and  $b$  ( $c$  in our example), and delete all its self edges with a vertex on them.
- This does not change the relative ranking of  $a$  and  $b$  due to the self-edge and weak deletion axioms.
- Next, we use the duplication property to duplicate the predecessors of  $c$  by  $c$ 's out degree, without changing the relative ranking of  $a$  and  $b$ .



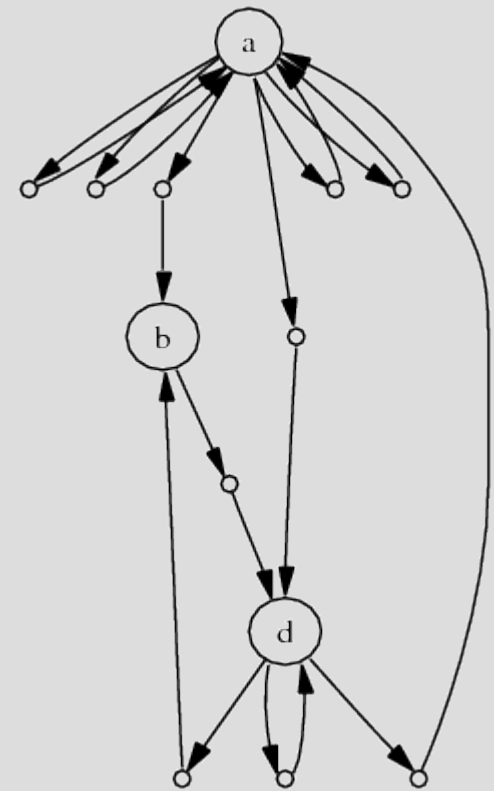
# Demonstration of Proof (cont.)

- The isomorphism axiom guarantees that  $c$  satisfies the conditions of the strong deletion property.
- Thus, we can apply Strong Deletion.
- Due to the strong deletion property, this does not change the relative ranking of  $a$  and  $b$ .



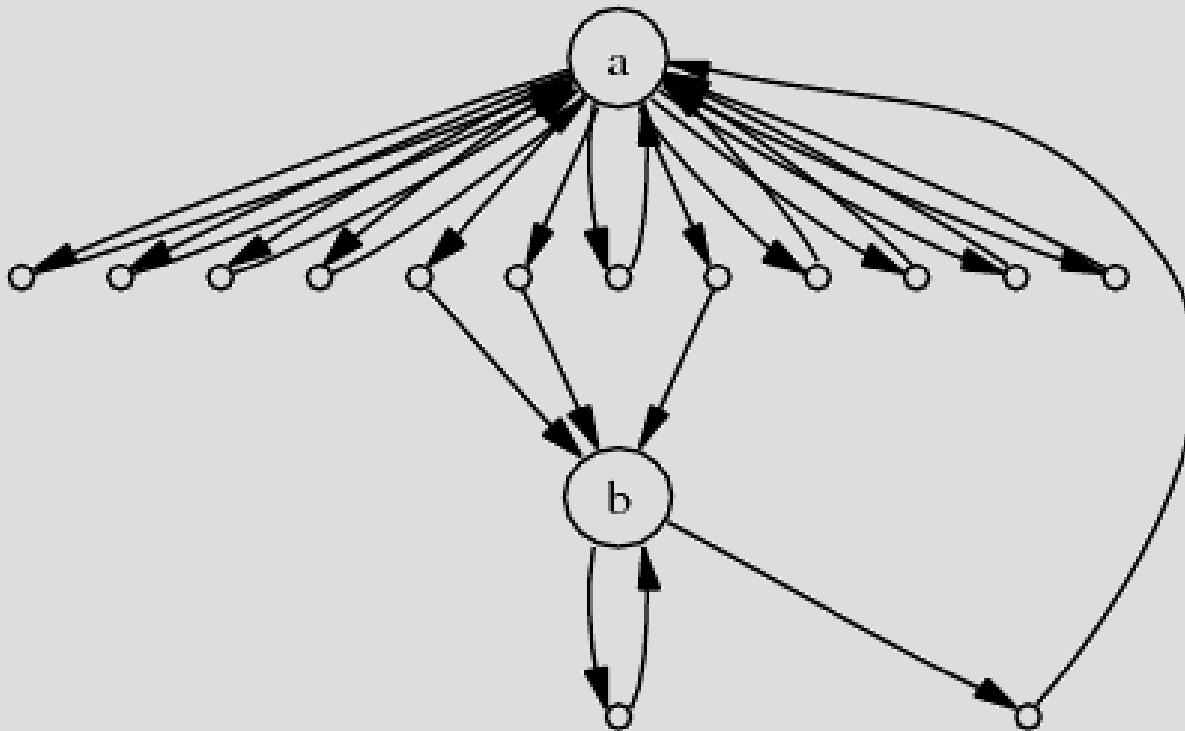
# Demonstration of Proof (cont.)

- We apply the strong deletion property again to delete the new vertices that were successors of  $c$ .
- Again, this does not change the relative ranking of  $a$  and  $b$ .
- Note that now again all successors and predecessors of the original vertices are new vertices, and all successors and predecessors of the new vertices are original vertices.



# Demonstration of Proof (cont.)

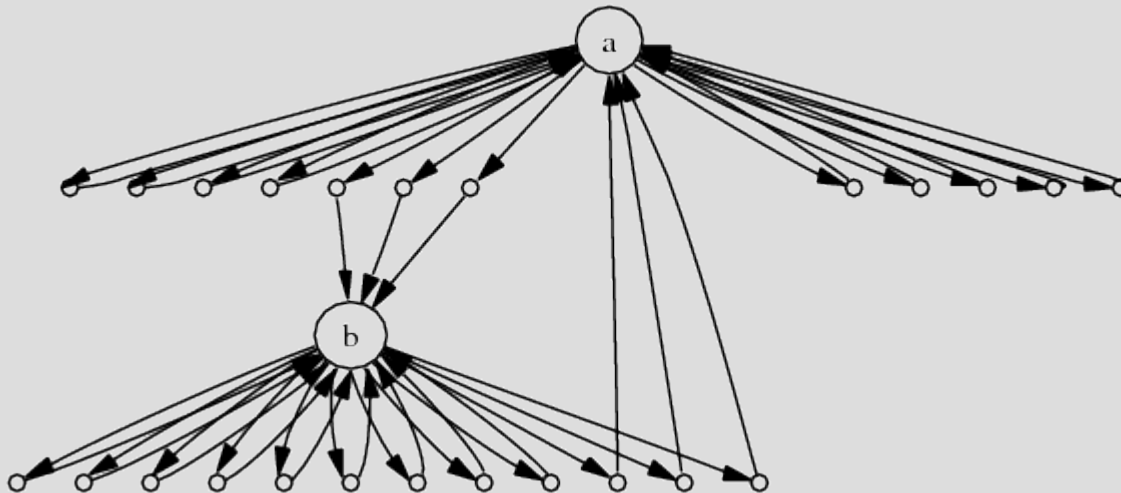
- Repeat the previous steps, selecting a different vertex each time, until the only remaining original vertices are  $a$  and  $b$ .





# Demonstration of Proof (cont.)

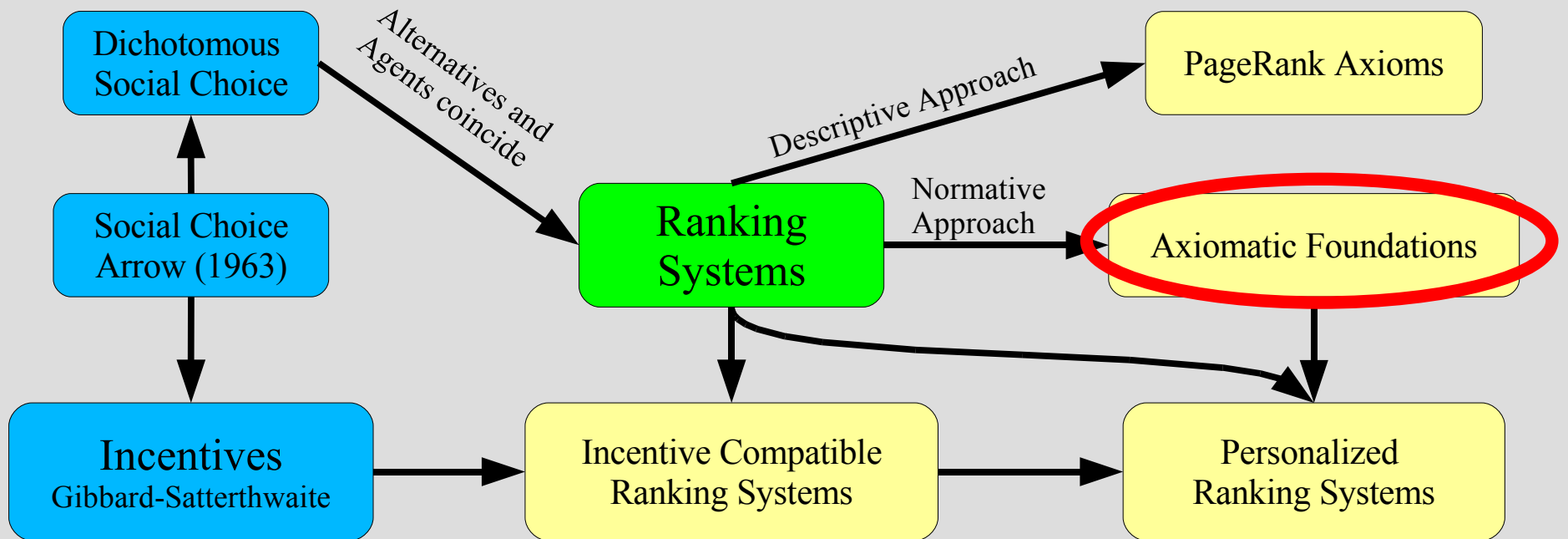
- Assume without loss of generality that  $b$  has fewer self edges with vertices than  $a$ .
- Add self edges with vertices to  $b$ , until  $a$  and  $b$  have the same number of self edges (with vertices).



# Completeness Proof (cont.)

- Now,  $a$  and  $b$  are equally ranked according to the isomorphism axiom.
- But, according to the self edge axiom we increased the relative rank of  $b$  compared to  $a$ , so we conclude that in the original graph,  $b$  was ranked lower than  $a$ .
- This unique outcome is general, and thus the axioms guarantee a unique ranking, and thus exactly represent PageRank.
- QED

# Research Map



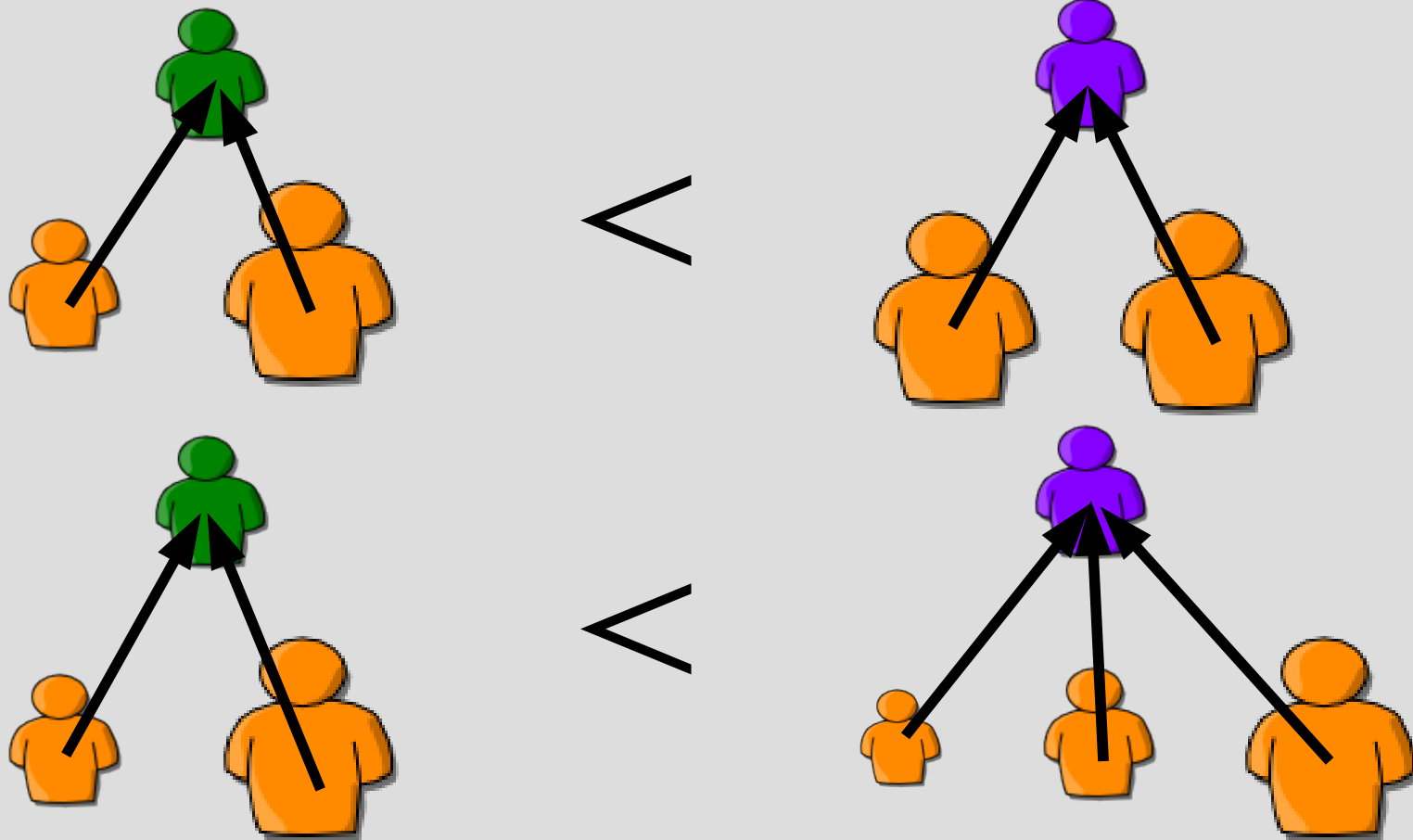


# Comment

- We henceforth assume arbitrary graphs, with no self-edges.

# Transitive Effects

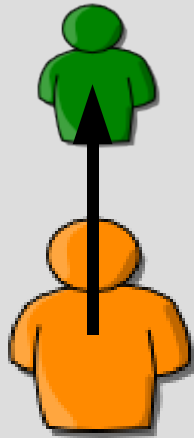
The rank of your voters should affect your own.



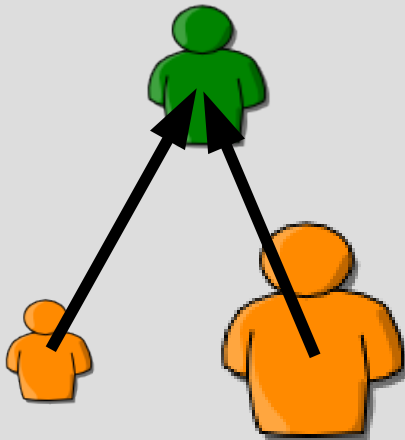
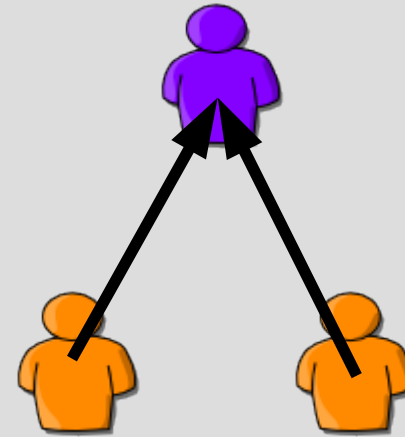
# Strong Transitivity

- Formally, a ranking system  $F$  satisfies *strong transitivity* if for every two vertices  $x, y$  where  $F$  ranks  $x$ 's predecessor set  $P(x)$  (strictly) weaker than  $P(y)$ , then  $F$  must rank  $x$  (strictly) weaker than  $y$ .
- We define a predecessor set  $P(x)$  as being weaker than  $P(y)$  as the existence of a 1-1 mapping between  $P(x)$  and  $P(y)$  where every vertex in  $P(x)$  is mapped to a stronger or equal vertex in  $P(y)$ . Moreover,  $P(x)$  is strictly weaker if at least one of the comparisons is strict, or the mapping is not onto.

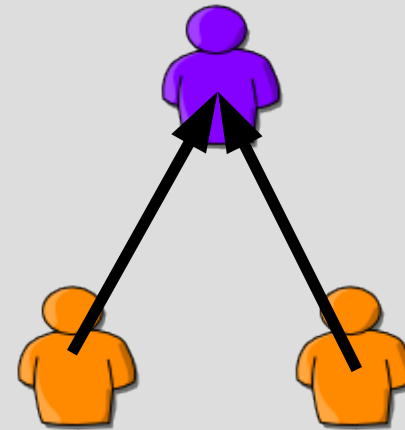
# Strong Transitivity Doesn't always apply



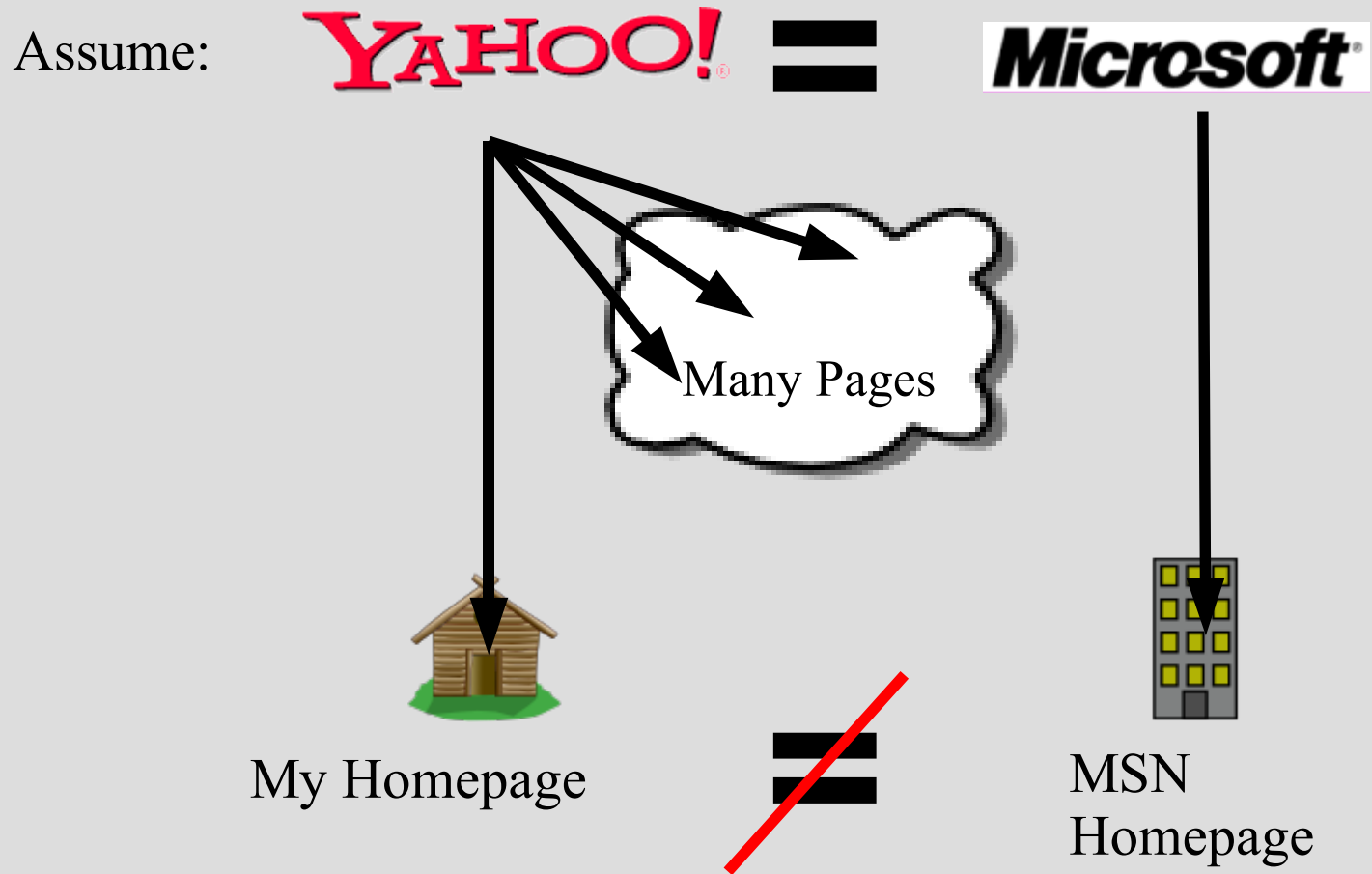
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# Strong Transitivity too Strong?

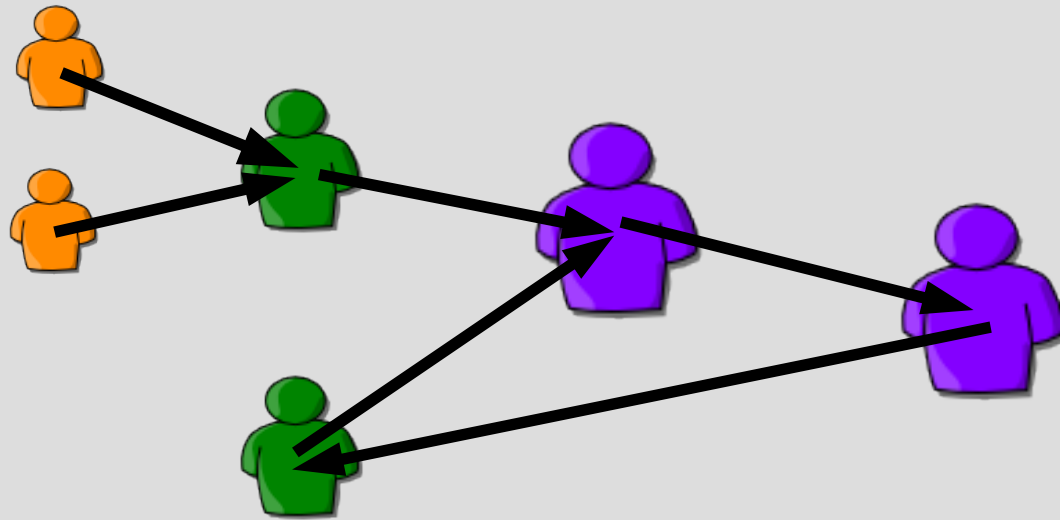


# More about Transitivity

- **Weak Transitivity**
  - The idea: Only match predecessors with equal out-degree.
  - We assume nothing about predecessors of different out-degrees.
  - Otherwise, same as Strong Transitivity.
- **PageRank** satisfies **Weak Transitivity** but not **Strong Transitivity**.
- **Strong Transitivity** can be satisfied by a nontrivial Ranking System [Tennenholtz 2004]

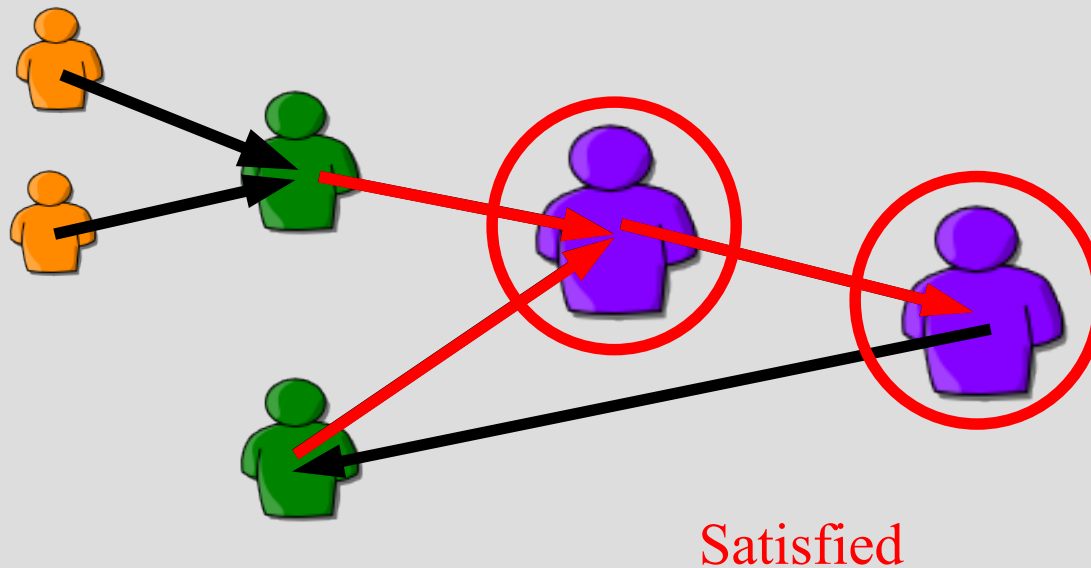
# Ranked IIA

- Consider the statement: “An agent with votes from two weak agents should be ranked the same as one with a vote from one strong agent”.



# Ranked IIA

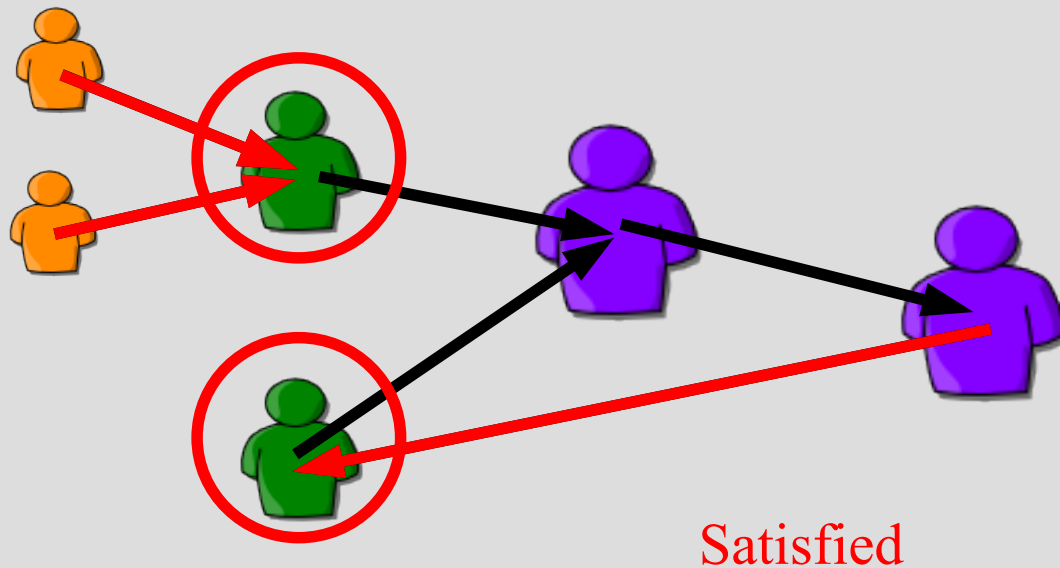
- Consider the statement: “An agent with votes from two weak agents should be ranked the same as one with a vote from one strong agent”.





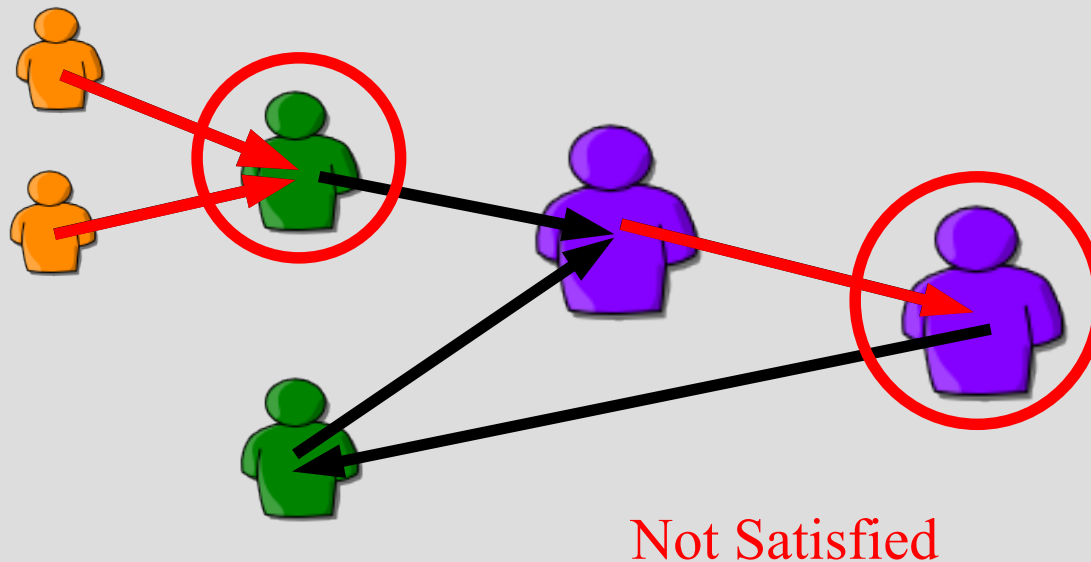
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# Ranked IIA

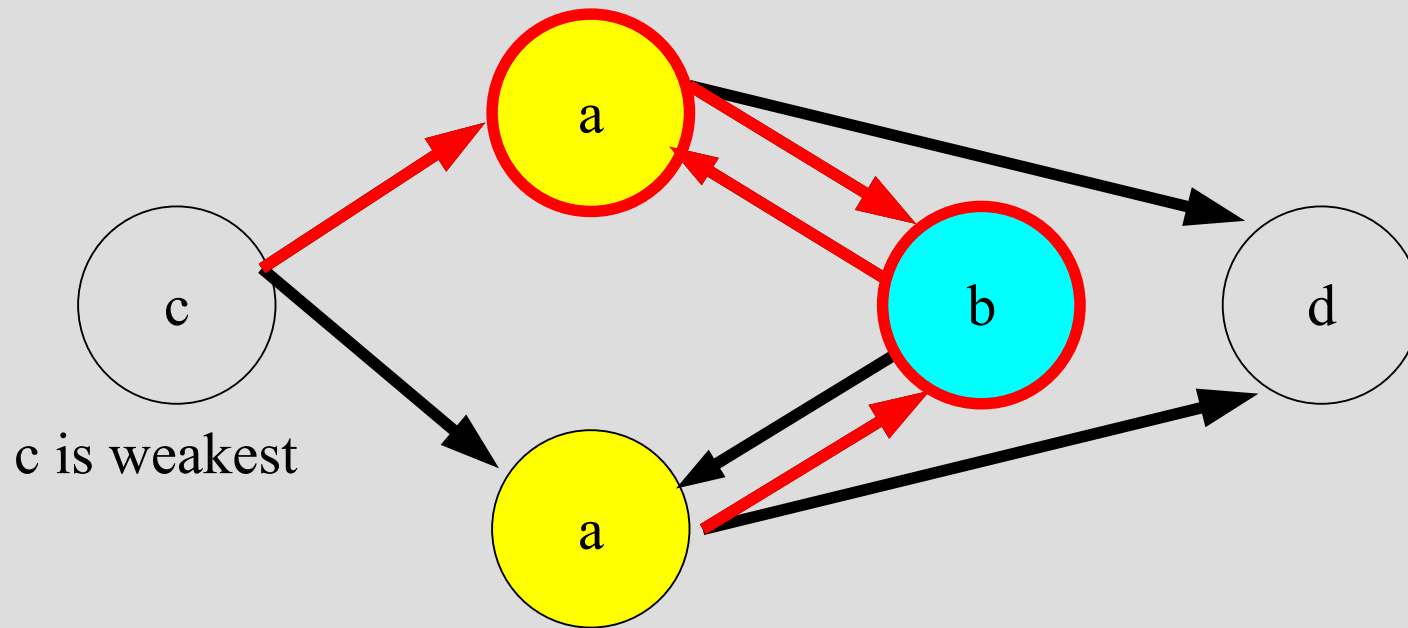
- We would like such comparisons to be *consistent*.
- That is, in every *profile* such as the one described in the previous slide we should decide  $>/</=$  consistently.
- This captures the **Independence of Irrelevant Alternatives (IIA)** for ranking systems.
- Can be seen as an **ordinality** requirement.
- Compare to **Arrow's IIA axiom**, which considers the name but not rank of the agents.

# Impossibility

- **Theorem:** There exists no general Ranking System that satisfies Weak Transitivity and Ranked IIA.
- **Proof: Constructive.**
  - We assume existence of such ranking system and see graphs it cannot rank consistently.

# Impossibility Proof – Part 1

Assume  $b \leq a$

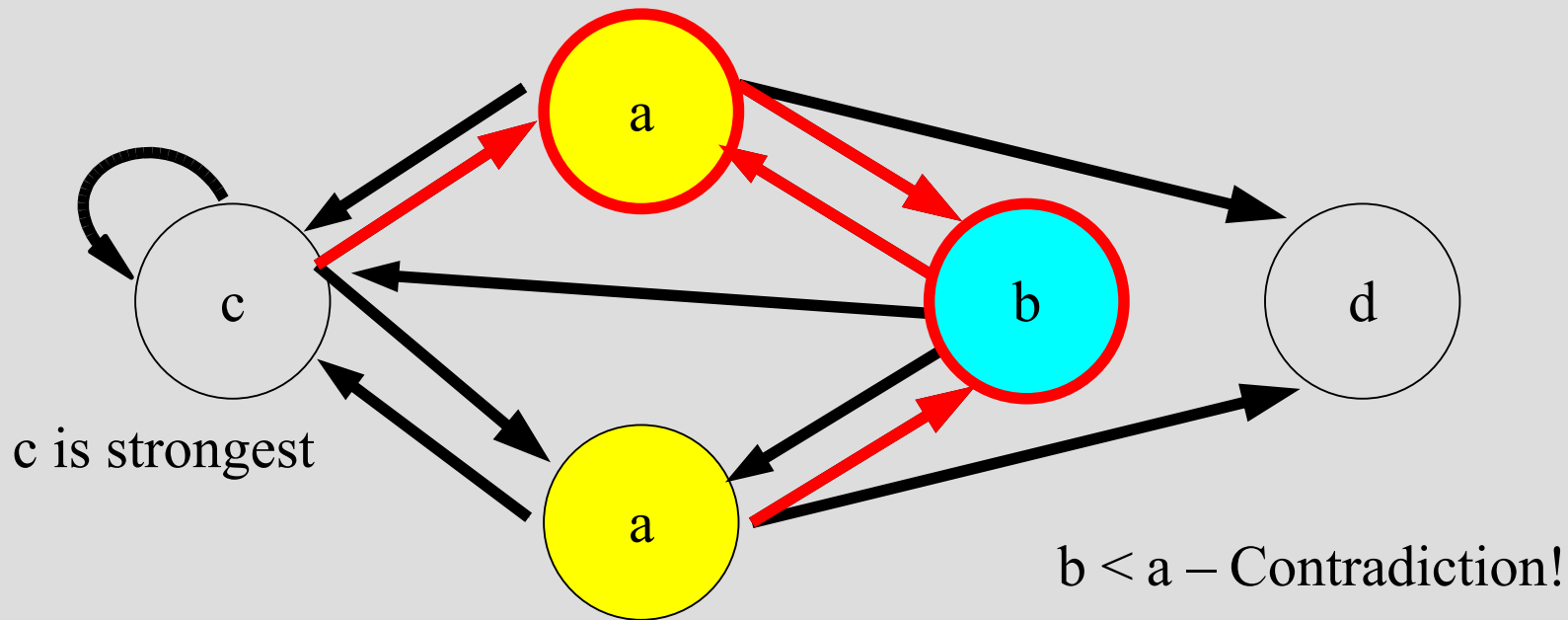


$a < b$  – Contradiction!

→ A vertex with two equal predecessors is stronger than one with one weaker and one stronger predecessor.

# Impossibility Proof – Part 2

Assume  $a \leq b$



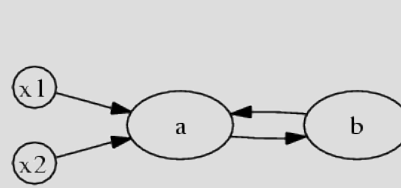
- A vertex with two equal predecessors is **weaker** than one with one weaker and one stronger predecessor.
- Contradiction to part 1. **QED**

# Stronger Impossibility Results

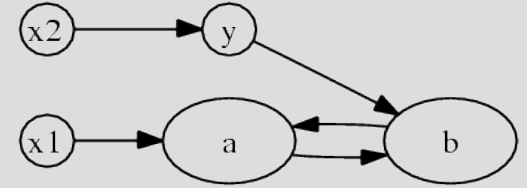
- Our impossibility result exists even in very limited domains:
  - Small graphs (4 agents are enough with Strong Transitivity).
  - Strongly connected graphs (as with PageRank).
  - Bipartite (buyer/seller) graphs.
  - Single vote per agent

# One Vote Bipartite Proof

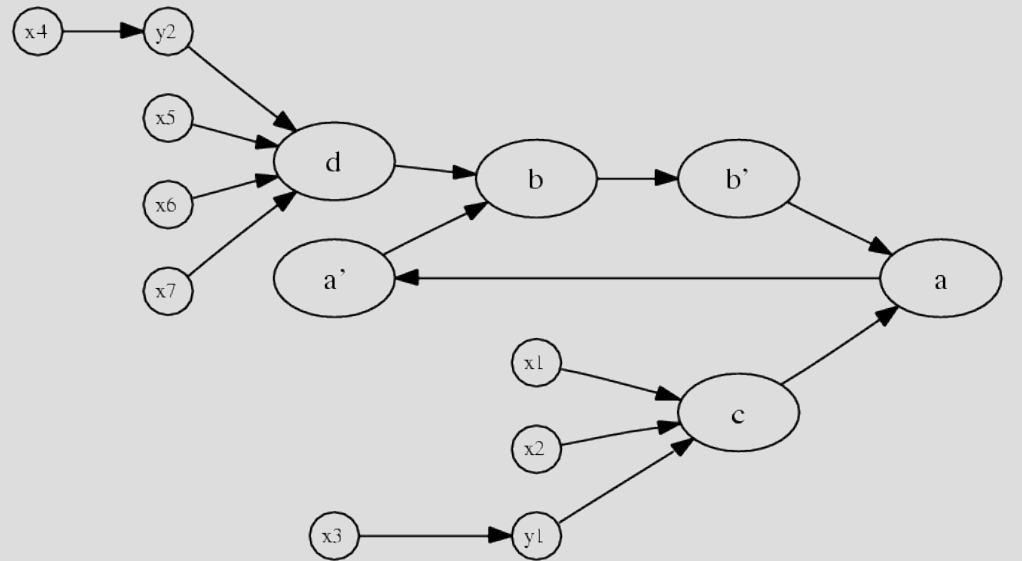
- In  $G_1$ :  $a(3) < b(1,1,2)$
- In  $G_2$ :  $a(1,4) < b(2,3)$
- In  $G_3$ :  $b(2,3) < a(1,4)$
- Contradiction!



(a) Graph  $G_1$



(b) Graph  $G_2$



(c) Graph  $G_3$

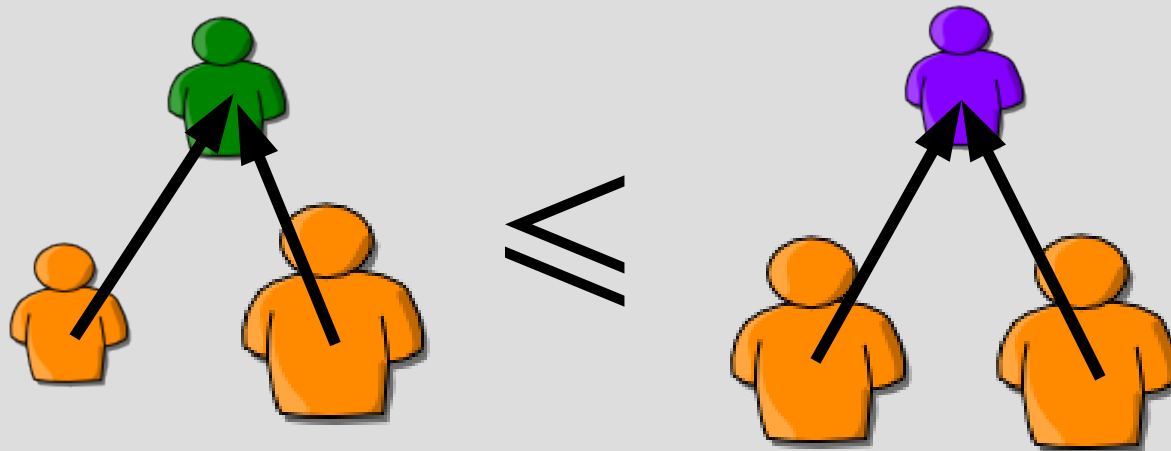


# Transitive effects and IIA?

- We have proven that transitive effects and ranked IIA are **incompatible**.
- However, it turns out that under a different notion of transitivity these properties can be satisfied together.
- Moreover, the proposed ranking system is nontrivial and interesting.

# Quasi-Transitivity

- We define the notion of *quasi-transitivity* as requiring only non-strict comparisons.
- A ranking system  $F$  satisfies *quasi-transitivity* if for every two vertices  $x, y$  where  $F$  ranks  $x$ 's predecessor set  $P(x)$  weaker or equal to  $P(y)$ , then  $F$  must rank  $x$  weaker or equal to  $y$ .



# Positive Result

- **Proposition:** There exists a nontrivial ranking system satisfying **Ranked IIA** and **Quasi-Transitivity**.
- The *recursive-indegree* ranking system can be defined using a simple and efficient algorithm:

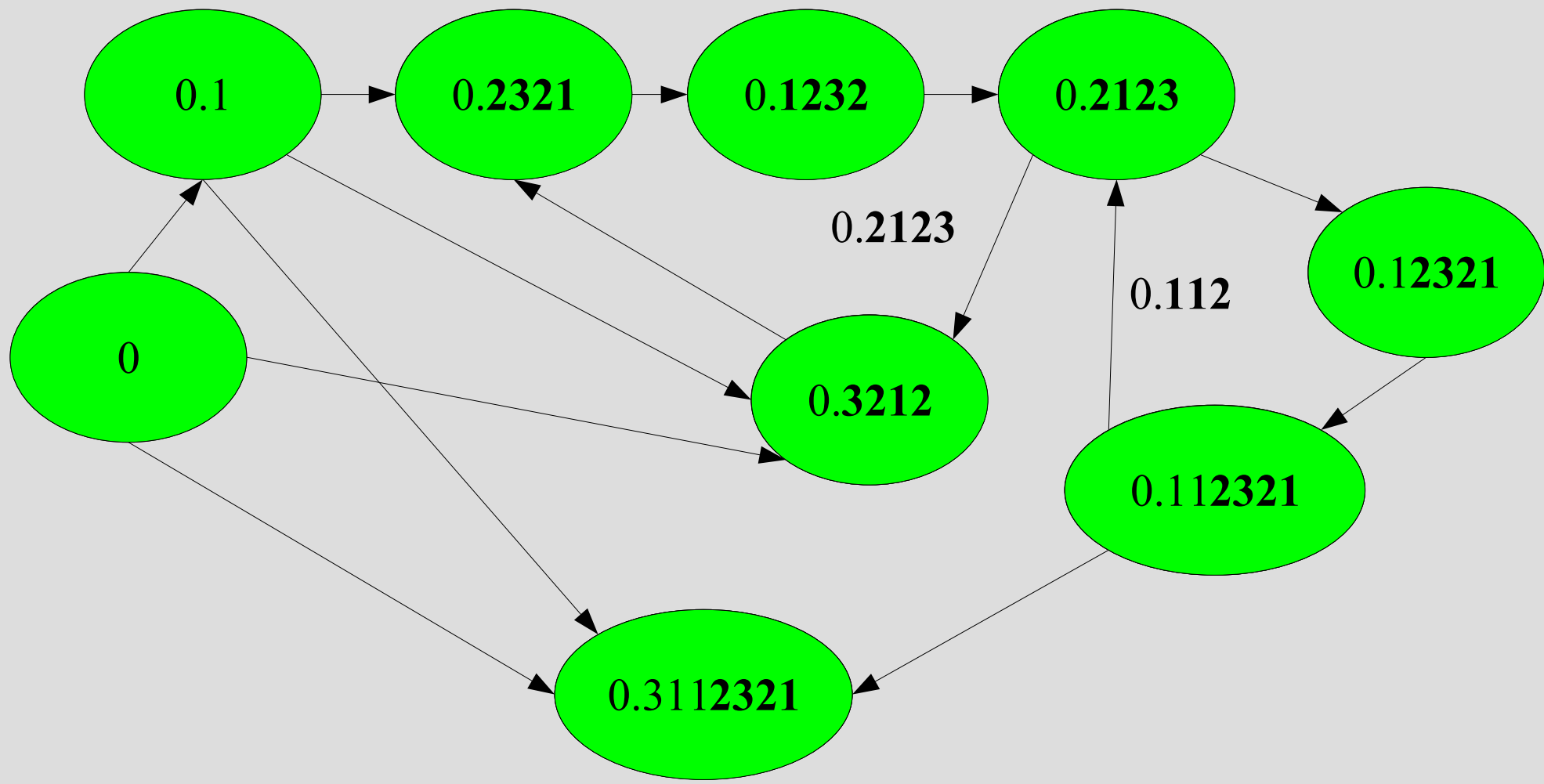
$$v_1 \preceq_G^{RID_r} v_2 \Leftrightarrow \text{value}(v_1, r, \mathbf{0}) \geq \text{value}(v_2, r, \mathbf{0})$$

# The *value* function

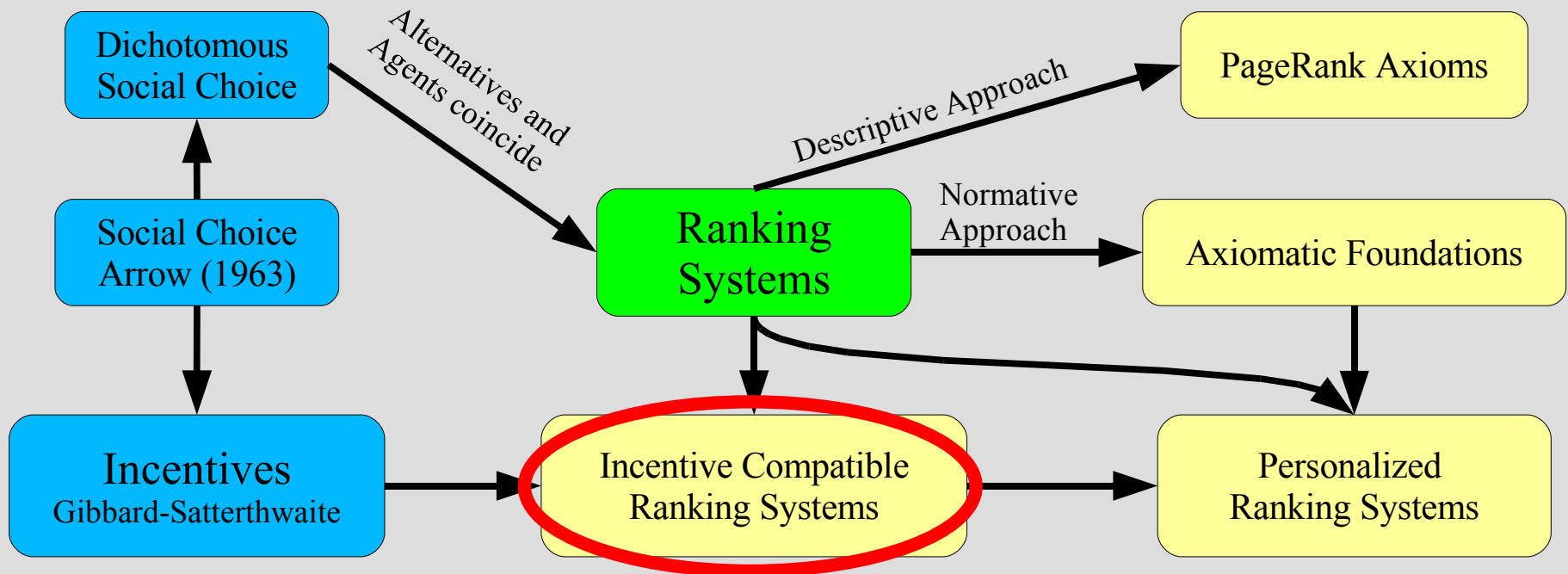
Procedure  $\text{value}(x, r, h)$  – returns numeric rank of node  $x$  under weight function  $r$  given previously seen nodes  $h$ :

1. Let  $d := \begin{cases} 0 & |P(x)| = 0 \\ r(|P(x)|) & \text{Otherwise.} \end{cases}$
2. Let  $h'(y) := \begin{cases} 0 & h(y) = 0 \wedge y \neq x \\ (n + 1) \cdot h(y) + d & \text{Otherwise.} \end{cases}$
3. If  $h(x) = 0$ :
  - (a) Return  $\frac{1}{n+1} [d + \max(\{\text{value}(x, h', r) \mid p \in P(x)\} \cup \{0\})]$
4. Otherwise:
  - (a) Let  $m = \min\{(n + 1)^k - 1 \mid (n + 1)^k > h'(x)\}$ .
  - (b) Return  $h'(x)/m$ .

# Example



# Research Map



# Incentives

- Agents may choose to cheat and not report their real preferences, in order to improve their position.
- Utility of the agents only depends on their own rank, not on the rank of other agents.
- Utility is nonincreasing in rank.
- Ties are considered a uniform distribution over pure rankings.

# Utility Function

- Formally, the utility function  $u$  for the agents maps for each agent count the number of agents ranked lower than the agent to a utility for that ranking:

$$u_n : \mathbb{N} \rightarrow \mathbb{R}$$

- The expected utility of an agent with  $k$  agents ranked strictly below it and  $m$  agents ranked the same is:

$$E[u_n] = u_n^*(k, m) = \frac{1}{m} \sum_{i=k}^{k+m-1} u_n(i)$$



# Utility of a ranking

- Let  $\leq$  be the ordering of the agents of some ranking system  $F$  on some graph  $G=(V,E)$ .
- The utility of agent  $v$  in graph  $G$  under ranking system  $F$  is:

$$u_G^F(v) = u_n^*((|\{u : u < v\}|, |\{u : u \simeq v\}|))$$

# Incentive Compatibility

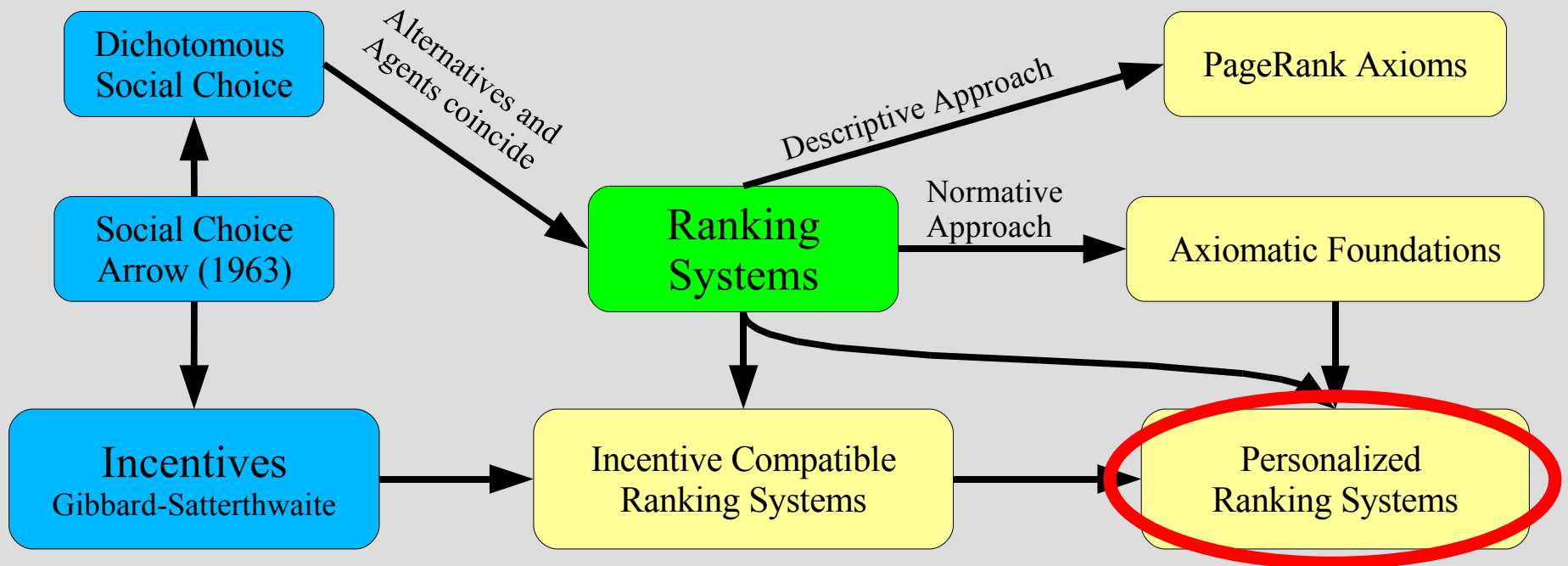
- Let  $G=(V,E)$  and  $G'=(V,E')$  be graphs that differ only in the outgoing edges from vertex  $v$ .
- A ranking system is *strongly incentive compatible*, if for every utility function  $u$ :

$$u_G^F(v) = u_{G'}^F(v)$$

# Results

- We have **classified** several types of incentive compatible ranking systems, under a wide range of axioms.
  - This classification has shown that full incentive compatibility is impossible for any practical purpose.
- We have also **quantified** the incentive compatibility of known ranking systems, and suggested useful new ranking systems that are almost incentive compatible.
- Due to lack of time, these results will not be presented in this talk.

# Research Map



# Personalized Ranking Systems

- The “client” of the ranking system may also be a participant.
- Examples:
  - Social Networks
  - C2C commerce sites (eBay)
  - Trust (PGP).
- It is useful to generate a personalized ranking for each individual.
- Many impossibility results are reversed.

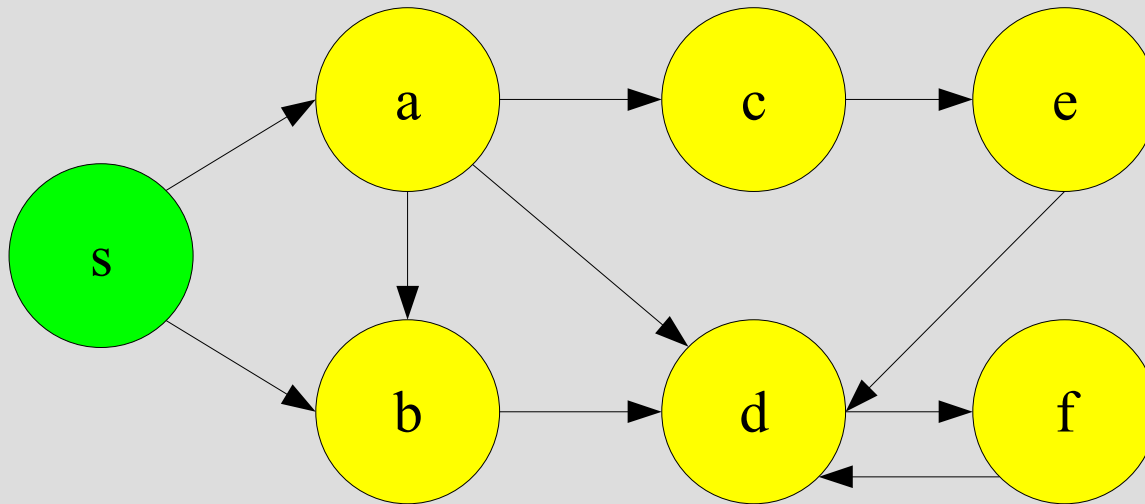
# What is a personalized ranking system?

- A **personalized ranking system** is like a general ranking system, except:
  - Additional parameter: the **source**, i.e. the agent under whose perspective we're ranking.
  - Defined only on the graphs where the source  $s$  is a **root**, that is there is a directed path from  $s$  to all vertices.
    - Usually we simply assume the graph is **strongly connected**.

# Examples of PRSs

- **Distance rule** - rank agents based on length of shortest path from  $s$ .
- **Personalized PageRank** with damping factor  $d$   
- The PageRank procedure with probability  $d$  of restarting at vertex  $s$ .
- **$\alpha$ -Rank** – Rank based on fixed point values when every vertex is valued at  $\alpha$  times the sum of its predecessors' value and  $s$  is defined as 1, where  $\alpha=1/n^2$ .

# Example of Ranking



Distance  
s, a=b, c=d, e=f

$\alpha$ -Rank  
s, b, a, d, c, f, e

Personalized PageRank  
( $d=0.2$ )

d, s, f, b, a, c, e

( $d=0.5$ )

s, b, a, d, f, c, e



# Properties of PRSs

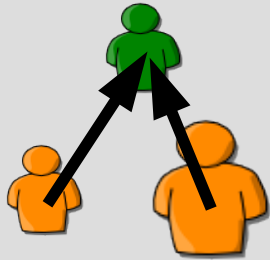
- A PRS satisfies *self-confidence* if the source  $s$  is ranked stronger than all other vertices.
- The following properties from general ranking systems could be adapted to PRSs.
  - Strong/Quasi/Weak transitivity
  - Ranked IIA
  - Strong Incentive Compatibility
- In every case, we require the property to be satisfied by all vertices except  $s$ .

# Types of Transitivity

Strong

Strong  
Quasi

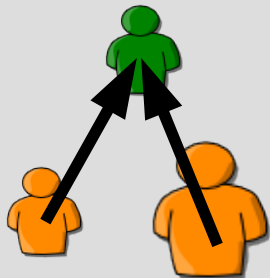
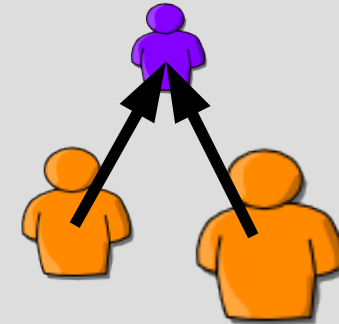
Quasi



$<$

$<$

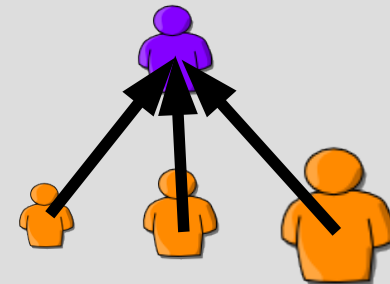
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# New type of Transitivity

- Assume a ranking system  $F$  and two vertices  $x, y$  (excluding the source) with a mapping  $f$  from  $P(x)$  to  $P(y)$  that maps each vertex in  $P(x)$  to one at least as strong in  $P(y)$ .
  - **Quasi-transitivity:**  $x \succsim y$ .
  - **Strong Quasi transitivity:** Furthermore, if *all* of the comparisons are strict:  $x \prec y$ .
  - **Strong transitivity:** Furthermore, if *at least one* of the comparisons is strict or  $f$  is not onto:  $x \prec y$ .

# Classification of PRSs

- **Proposition:** The **distance PRS** satisfies **self confidence**, **ranked IIA**, **strong quasi transitivity**, and **strong incentive compatibility**, but does not satisfy **strong transitivity**.
- **Proposition:** The **Personalized PageRank** ranking systems satisfy **self confidence** iff  $d > 1/2$ . Moreover, Personalized PageRank does not satisfy **quasi transitivity**, **ranked IIA** or **incentive compatibility** for any damping factor.
- **Proposition:** The  **$\alpha$ -Rank PRS** satisfies **self confidence** and **strong transitivity**, but does not satisfy **ranked IIA** or **incentive compatibility**.



# The Strong Count System

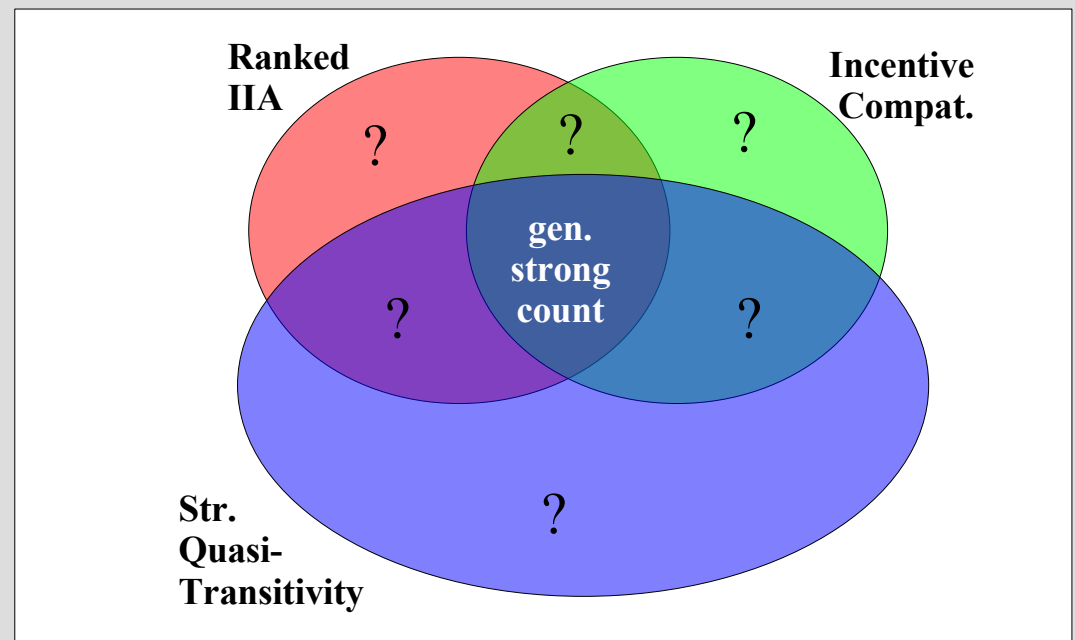
- The strong count PRS sets  $s$  to be the top ranked vertex, and then ranks by comparing the strongest predecessors, and when equal ranks based on the *number* of strongest predecessors.
- **Proposition:** The strong count PRS satisfies **Self Confidence, Ranked IIA, Strong Quasi Transitivity** and **Strong Incentive Compatibility**.

# Generalizing Strong Count

- The Strong Count system can be generalized to systems where some ranges of strongest predecessor counts are considered equivalent.
- For example, such a system can consider one and two strong votes as equivalent, and consider three or more strong votes as equivalent but strictly stronger.
- Specifically, the distance rule arises when all predecessor counts are considered equivalent.
- We will call such systems **Generalized Strong Count** systems.

# Classification Theorem

- **Theorem:** A PRS satisfies self confidence, strong quasi transitivity, RIIA and strong incentive compatibility if and only if it is a generalized strong count system.





# Relaxing the Axioms

- All axioms are required for the previous result.
- If we relax any axiom, the system no longer a generalized strong count system.
- In particular there are artificial systems with the following properties:

<b>Self Confidence</b>	<b>YES</b>	<b>NO</b>
<b>Ranked IIA</b>	<b>YES</b>	<b>YES</b>
<b>Str.Quasi-Trans</b>	<b>NO</b>	<b>YES</b>
<b>Inc. Comp</b>	<b>YES</b>	<b>YES</b>

# Relaxing Ranked IIA

- The Path Count PRS ranks vertices based on distance, breaking ties by the number of shortest directed paths each vertex has from the source.
- **Proposition:** The path count PRS has the following properties:

<b>Self Confidence</b>	<b>YES</b>
<b>Ranked IIA</b>	<b>NO</b>
<b>Str.Quasi-Trans</b>	<b>YES</b>
<b>Inc. Comp</b>	<b>YES</b>

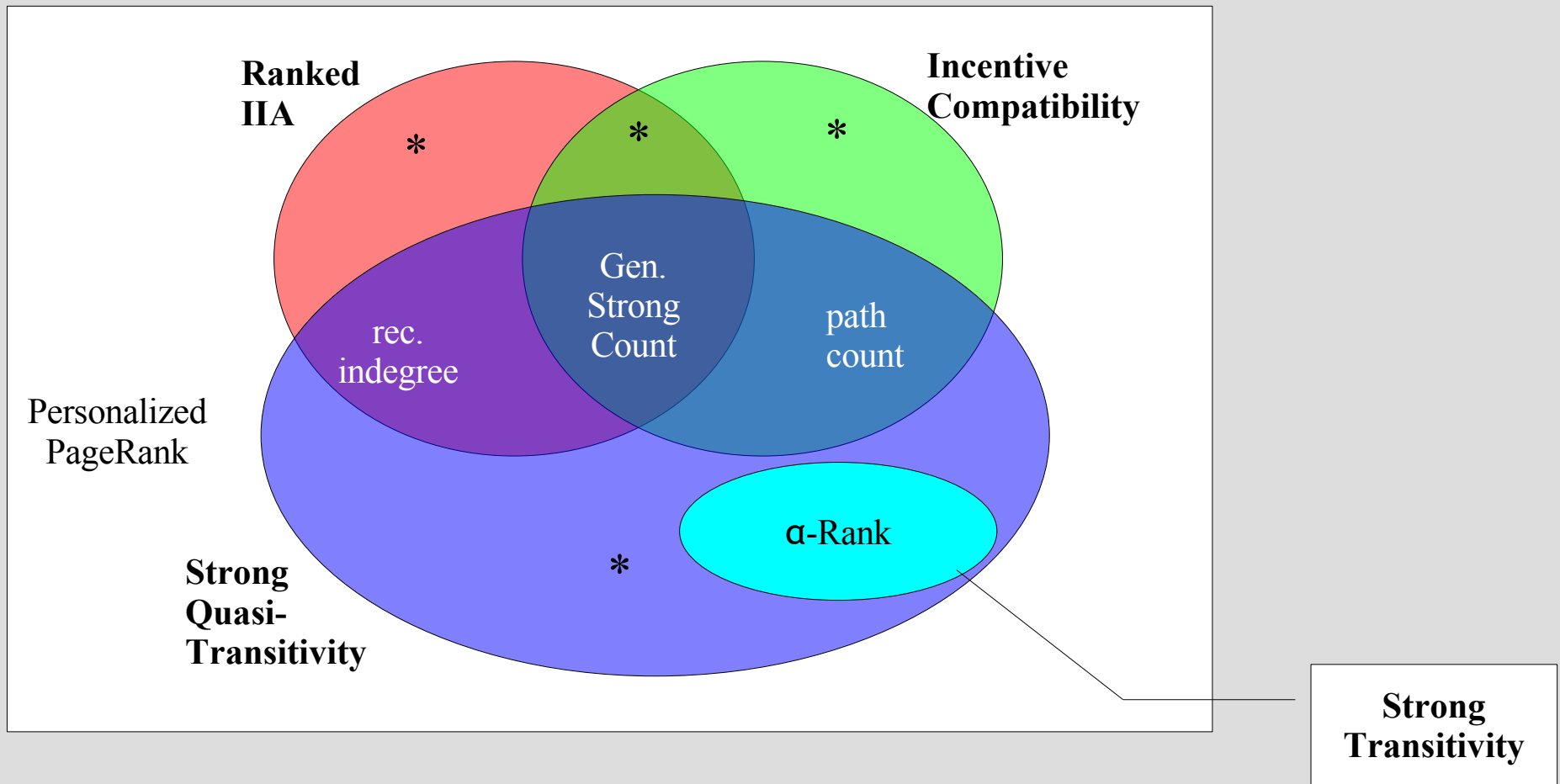
# Relaxing Incentive Compatibility

- The recursive in-degree ranking system can be adapted to the personalized setting by giving the source vertex a maximal value, as if it has in-degree  $n+1$ .
- **Proposition:** The recursive in-degree PRS has the following properties:

Self Confidence	YES
Ranked IIA	YES
Str.Quasi-Trans	YES
Inc. Comp	NO

# Personalized Ranking Systems

## -- Summary



\* Artificial Ranking Systems

# Summary

- We have shown and proven a representation theorem for **PageRank**.
- In the **Normative Approach**, we have seen both impossibility and possibility results.
- We have applied this approach to **personalized ranking systems**, with very positive results.

# Further Research

- New Settings
  - Ternary votes (good/bad/none).
  - Ranking systems over complete preferences.
  - Probabilistic Ranking Systems.
- Descriptive Approach
  - Prove PageRank axioms' independence.
  - Axiomatization for PageRank with damping factor.
  - Axiomatization for Hubs&Authorities.
  - Representation theorems in personalized setting.

# Further Research (cont.)

- Normative Approach
  - Explore new axioms and prove possibility or impossibility results.
- Personalized Ranking Systems
  - Consider non-connected case.
  - Reputation systems (ternary votes).

**Thank You!**